

# Battery Lifespan Prediction Using Machine Learning and NASA Aging Dataset

Dr. Jogi John Guide PCE, Nagpur, India jogi.john@pcenagpur.edu.in

Babita Prasad Student 2 PCE, Nagpur, India babitaprasad2230@gmail.com

Bhushan Murkute Student4 PCE,Nagpur,India bhushanmurkute56@gmail.com Manav Patil Student 1 PCE,Nagpur,India manavpatil596@gmail.com

Aditya Agrawal Student3 PCE, Nagpur, India adityaagrawal297@gmail.com

Uday Shahu Student 5 PCE.Nagpur,India <u>shahuuday11@gmail.com</u>

Abstract-NASA Battery RLU 16.5 plays a crucial role in powering space missions, ensuring reliability and longevity under extreme conditions. Accurate estimation and control of its State of Health (SOH) are essential for maintaining its performance, particularly in the harsh and unpredictable environment of space. This review paper explores the latest advancements in SOH estimation for lithiumion batteries, focusing on methods applicable to NASA Battery RLU 16.5. Key methods discussed include machine learning models such as Long **Short-Term** Memory (LSTM) networks, Convolutional Neural Networks (CNN), and hybrid deep learning models, which have shown promising results in accurately predicting SOH and Remaining Useful Life (RUL). Additionally, optimization techniques like ant lion optimization combined with support vector regression and incremental capacity analysis offer high precision in SOH predictions. Temperature-based SOH estimation and the integration of electrochemical models also emerge as essential methods for improving accuracy. Despite the significant progress in SOH estimation, challenges such as the unpredictability of space conditions remain, necessitating further research in hybrid modeling approaches. This paper provides a comprehensive overview of the state-of-the-art SOH estimation techniques and highlights the challenges and future directions in managing NASA's lithiumion batteries for long-term missions.

Keywords— Lithium-ion battery (LIB), Remaining Useful Life (RUL), Machine learning algorithms, Neural networks, LSTM (Long Short-Term Memory), CNN (Convolutional Neural Network), Battery degradation modeling, Hybrid neural networks, Optimization techniques, Space mission battery management

I. INTRODUCTION

NASA's Battery RLU 16.5, a lithium-ion battery (LIB) system, is a vital component of NASA's space missions, providing high energy density, reliability, and long life. Ensuring an accurate assessment of its State of Health (SOH) is crucial to maintaining its operational viability, particularly under the extreme conditions experienced during space missions. The increasing reliance on lithium-ion batteries necessitates the development of robust SOH estimation techniques. This review summarizes the latest advancements in SOH estimation and predictive modeling techniques, highlighting key methods that enhance the reliability

and safety of lithium-ion batteries used in NASA missions.

#### II. OBJECTIVES

In the context of advancing battery management systems for space applications, this study aims to explore and evaluate cutting-edge techniques for SOH estimation and predictive modeling. The key objectives of this review paper are: The primary objectives of this review paper are:

- To provide a comprehensive understanding of NASA Battery RLU 16.5 and its significance in space missions.
- To explore and evaluate different SOH estimation techniques, including machine learning models and optimization algorithms.
- To analyze the effectiveness of hybrid deep learning methods in improving SOH prediction accuracy.
- To investigate temperature-based SOH estimation and its impact on battery longevity.
- To identify current challenges in SOH estimation and propose future research directions for improving NASA's battery management systems. Ultimately, this study seeks to contribute to the broader field of spacegrade battery technologies by presenting a structured analysis of state-of-the-art methodologies and their potential for future improvements

## III. LITERATURE SURVEY

## 3.1 Electrochemical and Empirical Modeling

Hatzell et al. (2012) emphasize the need for integrating electrochemical and empirical models to enhance long-term SOH estimation. Their study highlights the limitations of standalone empirical models in accurately predicting lithium-ion battery degradation.

3.2 Machine Learning and Deep Learning Approaches

Fan et al. (2020) introduced a hybrid deep learning model that combines a Gate Recurrent Unit (GRU) and Convolutional Neural Network (CNN) to effectively capture long-term dependencies in battery data. Their method improves SOH prediction accuracy, making it particularly suitable for extended space missions.

Qu et al. (2021) proposed a neural networkbased approach for RUL prediction and SOH monitoring using battery voltage and current data. Their findings indicate that neural networks enhance SOH prediction by efficiently handling large-scale battery datasets.

Wu et al. (2020) developed an LSTM-based method that analyzes voltage profiles and cycle data to estimate SOH. Their model's ability to learn from historical battery data makes it an ideal choice for long-duration space missions.

# 3.3 Incremental Capacity and Optimization-Based Approaches

Tang et al. (2018) introduced an Incremental Capacity Analysis (ICA)-based SOH estimation method, achieving an estimation error of less than 2.5% using NASA battery data. This method allows early detection of capacity fade, making it a reliable approach for NASA Battery RLU 16.5.

Li et al. (2020) proposed a hybrid optimization approach combining Ant Lion Optimization (ALO) with Support Vector Regression (SVR), resulting in SOH estimation errors below 1.8% when tested with NASA data.

## 3.4 Temperature-Based SOH Estimation

Tian et al. (2020) proposed a temperature-based SOH estimation method that utilizes differential temperature curves during constant charging. Their study validated this approach using NASA and Oxford datasets, demonstrating its effectiveness in extreme temperature conditions.

## IV. PROPOSED METHODOLOGY

The methodologies employed for SOH estimation of NASA Battery RLU 16.5 include a combination of experimental analysis, machine learning techniques, and optimization algorithms. The research involves

L

SJIF RATING: 8.586

analyzing large-scale battery datasets, extracting key performance metrics, and validating predictive models under various operational conditions. To evaluate SOH estimation techniques for NASA Battery RLU 16.5, multiple methodologies have been analyzed:

1. **Neural Networks for SOH Prediction**: Advanced deep learning techniques, such as LSTM and CNN, are utilized to predict SOH based on historical battery performance data. These models improve estimation accuracy by learning complex relationships between voltage, current, and temperature variations.: Deep learning-based approaches, including LSTM and CNN, provide accurate SOH estimations through historical battery data analysis.

2. **Incremental Capacity Analysis (ICA)**: This method involves tracking capacity fade by analyzing changes in voltage and charge characteristics over multiple cycles. ICA has been widely used for early detection of degradation trends in lithium-ion batteries.: ICA has been employed to detect early capacity fade, ensuring timely maintenance and battery replacement.

3. Optimization **Algorithms**: Advanced optimization techniques, including Ant Lion Optimization (ALO) and Support Vector Regression (SVR), are applied to fine-tune SOH prediction models. These techniques enhance the robustness and precision of SOH estimation under varying conditions.: Hybrid optimization techniques, such as ALO with SVR, improve prediction accuracy by reducing estimation errors.

4. **Temperature-Based SOH Estimation**: This methodology examines battery temperature fluctuations during charge-discharge cycles to assess degradation patterns. Temperature-based models improve SOH prediction accuracy by incorporating thermal effects on battery aging.: This method is used to track thermal responses and assess SOH variations under different charging and discharging conditions.

## V. RESULTS AND ANALYSIS

The reviewed methodologies demonstrate the efficacy of machine learning and optimization algorithms in accurately estimating the SOH of lithium-ion batteries.

• Machine Learning Performance: Studies by El-Dalahmeh et al. (2021) and Bao et al. (2022) indicate that LSTM and CNN-based models achieve high accuracy in SOH estimation when trained on NASA datasets.

Regression-Based Approaches: Xu et al. (2018) demonstrated that random forest and regression tree models effectively estimate SOH with minimal error.
Hybrid Optimization Methods: Research by Pan et al. (2021) suggests that improved particle filter algorithms significantly enhance SOH prediction by incorporating capacity regeneration effects.

#### VI. FUTURE SCOPE

Despite advancements in SOH estimation, challenges remain, particularly in handling the unpredictable conditions of space. Future research should focus on:

- Hybrid Modeling Approaches: Integrating machine learning with electrochemical models to improve prediction accuracy.
- Advanced Transformer-Based Models: Bai and Wang (2023) developed a convolutional transformer-based model that enhances SOH estimation by capturing global and local features simultaneously.
- Real-Time Monitoring Systems: The development of real-time SOH monitoring systems with adaptive learning capabilities for space applications.
- Robust Data Augmentation: Enhancing model training using synthetic datasets and transfer learning techniques to improve generalization for new battery chemistries.

## VII. CONCLUSION

NASA Battery RLU 16.5 benefits from cutting-edge advancements in SOH estimation driven by machine learning, optimization algorithms, and data-driven approaches. Accurate SOH estimation ensures the reliability and longevity of the battery system, which is crucial for mission success. The integration of advanced neural networks, temperature-based models, and hybrid optimization techniques offers promising directions for future research in battery management systems for aerospace applications.

L





SJIF RATING: 8.586

ISSN: 2582-3930

#### REFERENCES

[1]. Hatzell, K. B., Sharma, A., & Fathy, H. K. (2012). A Survey of Long-Term Health Modeling, Estimation, and Control of Lithium-Ion Batteries: Challenges and Opportunities. *Journal of Power Sources*.

[2]. Qu, J., Liu, F., Ma, Y., & Fan, J. (2021). A Neural-Network-Based Method for RUL Prediction and SOH Monitoring of Lithium-Ion Battery. *IEEE Transactions on Industrial Electronics*.

[3]. Wu, Y., Xue, Q., Shen, J., Lei, Z., Chen, Z., & Liu, Y. (2020). State of Health Estimation for Lithium-Ion Batteries Based on Healthy Features and Long Short-Term Memory. *IEEE Access*.

[4]. Li, Q., Li, D., Zhao, K., Wang, L., & Wang, K.
(2020). State of Health Estimation of Lithium-Ion Battery Based on Improved Ant Lion Optimization and Support Vector Regression. *Journal of Power Sources*.

[5]. Azis, N. A., Joelianto, E., & Widyotriatmo, A. (2021). State of Charge (SoC) and State of Health (SoH) Estimation of Lithium-Ion Battery Using Dual Extended Kalman Filter Based on Polynomial Battery Model. 2021 International Conference on Instrumentation, Control, and Automation.

[6]. El-Dalahmeh, M., Lillystone, J., Al-Greer, M., & El-Dalahmeh, M. (2021). State of Health Estimation of Lithium-Ion Batteries Based on Data-Driven Techniques. 2021 56th International Universities Power Engineering Conference (UPEC).

[7]. Tang, X., Zou, C., Yao, K., Chen, G., Liu, B., & Gao, F. (2018). A Fast Estimation Algorithm for Lithium-Ion Battery State of Health. *Journal of Power Sources*.

[8]. Fan, Y., Xiao, F., Li, C., Yang, G., & Tang, X. (2020). A Novel Deep Learning Framework for State of Health Estimation of Lithium-Ion Battery. *Journal of Energy Storage*.

[9]. Bao, Z., Jiang, J., Zhu, C. B., & Gao, M. (2022). A New Hybrid Neural Network Method for State-of-Health Estimation of Lithium-Ion Battery. Energies.

[10]. Bian, X., Liu, L., & Yan, J. (2019). A Model for State-of-Health Estimation of Lithium-Ion Batteries Based on Charging Profiles. Energy.

[11]. Tian, J., Xiong, R., & Shen, W. (2020). State of Health Estimation Based on Differential Temperature for Lithium Ion Batteries. IEEE Transactions on Power Electronics. [12]. Xu, H., Peng, Y., & Su, L. (2018). Health State Estimation Method of Lithium-Ion Battery Based on NASA Experimental Data Set. IOP Conference Series: Materials Science and Engineering.

[13]. Hong, J., Wang, W., Chai, Q., Lin, Q., & Cai, F.(2023). State of Health Estimation of Lithium-Ion Battery Based on DAGRU. Proceedings of SPIE.

[14]. Hong, S., Kang, M., Jeong, H. G., & Baek, J. (2020). State of Health Estimation for Lithium-Ion Batteries Using Long-Term Recurrent Convolutional Network. IECON 2020 The 46th Annual Conference of the IEEE Industrial Electronics Society.

[15]. Yao, H., Jia, X., Zhao, Q., Cheng, Z., & Guo, B.(2020). Novel Lithium-Ion Battery State-of-HealthEstimation Method Using a Genetic ProgrammingModel. IEEE Access.

[16]. Guo, Y., Peng, Y., Zhu, C., Zhao, K., Wang, L.,& Wang, K. (2022). A State of Health EstimationMethod Considering Capacity Recovery of LithiumBatteries. International Journal of Energy Research.

[17]. Jiang, Y., Zhang, J., Xia, L., & Liu, Y. (2020). State of Health Estimation for Lithium-Ion Battery Using Empirical Degradation and Error Compensation Models. IEEE Access.

[18]. Bai, T., & Wang, H. (2023). Convolutional Transformer-Based Multiview Information Perception Framework for Lithium-Ion Battery State-of-Health Estimation. IEEE Transactions on Instrumentation and Measurement.

[19]. Pan, H., Chengte, C., & Gu, M. (2021). A State of Health Estimation Method for Lithium-Ion Batteries Based on Improved Particle Filter Considering Capacity Regeneration. Energies.

[20]. Gao, Y., Liu, K., Zhu, C., Zhang, X., & Zhang, D. (2022). Co-Estimation of State-of-Charge and State-of-Health for Lithium-Ion Batteries Using an Enhanced Electrochemical Model. IEEE Transactions on Industrial Electronics.