# Design and Optimization of AI based 5G/6G Communication Protocols for **Smart Cities**

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Abstract: This research work focuses on the design and performance optimization of 5G/6G communication protocols for smart city environments using simulation. With the growing demand for ultra-reliable and low-latency communications in smart transportation, healthcare, and IoT systems, there is a critical need to enhance communication efficiency in dense urban networks. The study is conducted in two stages: a baseline simulation of a 5G/6G communication system and an AI-optimized model using Reinforcement Learning (RL). The baseline model measures standard parameters such as latency, throughput, and packet delivery ratio, while the AI-enhanced model dynamically adjusts communication parameters to improve performance. Simulation results indicate that the AIoptimized system reduces latency, increases throughput, and improves the packet delivery ratio compared to the baseline model, making it more suitable for real-time smart city applications.

Keywords: 5G Communication, 6G Networks, Reinforcement Learning, Smart Cities, AI Optimization

#### 1. Introduction

Smart cities are driven by technologies such as IoT, cloud computing, and artificial intelligence [1]. These systems require seamless, high-speed, and reliable communication networks to support applications like autonomous vehicles, intelligent surveillance, grids[2].

While 5G networks have made significant progress in reducing latency and increasing data rates, 6G aims to provide even greater capacity, AI-driven automation, and integrated sensing and communication. However, the major challenge lies in the dynamic and dense nature of urban environments where thousands of devices compete for limited bandwidth[3]. Conventional communication protocols rely on fixed scheduling and power allocation schemes that cannot adapt efficiently to changing traffic and interference conditions. This limitation motivates the use of AI-driven optimization to make communication networks more adaptive, efficient, and intelligent. Reinforcement Learning (RL) provides a promising approach by allowing systems to learn from interaction and optimize their performance in real-time. This paper presents a simulation-based approach to design and optimize a 5G/6G communication system for smart cities. The simulation is performed using Python, where a baseline model is first developed, and then an RL-based optimization

algorithm is integrated to improve the overall network performance.

### 2. Methodology

The methodology consists of two main stages: (a) Baseline Simulation of the 5G/6G communication model, and (b) AIbased optimization using reinforcement learning. The block diagram of methodology is shown below

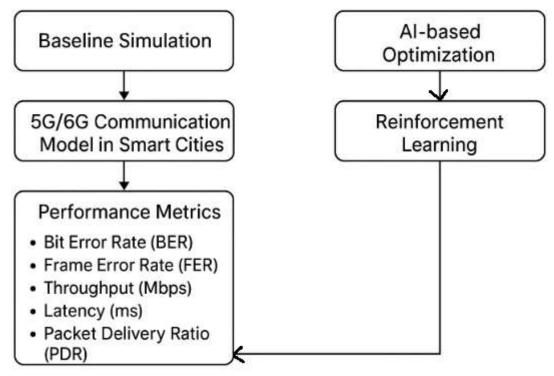


Figure 1 Block diagram of methodology

#### 2.1 Baseline Simulation

In the baseline phase, a Python-based environment is created to represent a smart city communication scenario. Nodes (devices) are distributed across the network, each transmitting data packets over a shared wireless channel. The simulation includes parameters such as transmission power, channel bandwidth, and noise.

Performance metrics such as latency, throughput, and packet delivery ratio (PDR) are measured under different channel conditions (e.g., various Signal-to-Noise Ratios or Eb/N0 values).

The baseline setup assumes static communication protocols where resource allocation and power control remain constant throughout the simulation. This model reflects a conventional 5G system without any intelligence or learning capability.

# 2.2 Performance Metrics

Three key metrics are used for evaluation:

- **Bit Error Rate (BER):** The ratio of incorrectly received bits to the total transmitted bits, representing transmission accuracy.
- Frame Error Rate (FER): The probability that an entire data frame (packet) is received incorrectly.
- Throughput (Mbps): The effective data rate of successful transmission.
- Latency (ms): The time delay between transmission and reception.
- Packet Delivery Ratio (PDR): The ratio of successfully delivered packets to the total sent packets.

### 3. Proposed Model

The proposed model, called AI-Optimized 5G/6G Protocol, integrates a Reinforcement Learning agent into the communication framework. The RL agent continuously interacts with the environment, learning to make decisions that improve network performance.

# 3.1 Reinforcement Learning Framework

The RL model consists of:

• Environment: Represents the 5G/6G communication network with nodes, traffic, and channel conditions.

- Agent: A decision-making unit (AI algorithm) that observes the environment and selects optimal actions such as adjusting transmission power, selecting modulation schemes, or managing bandwidth.
- State (s): Current network conditions, including channel gain, interference level, and packet success rate.
- Action (a): The control operation chosen by the agent (e.g., increase power, change frequency, modify data rate).
- **Reward (r):** A numerical feedback signal based on performance improvement. A higher reward is given for increased throughput and lower latency.

The learning process follows the Q-learning or Deep Reinforcement Learning (using Stable-Baselines3 PPO or DQN) algorithm, where the agent maximizes cumulative reward by continuously interacting with the environment.

## 3.2 Working Principle

- 1. The simulation begins with random transmission parameters.
- 2. The RL agent observes system performance and adjusts parameters dynamically.
- 3. The model is trained for multiple episodes until convergence, i.e., when no significant performance improvement is observed.
- 4. The optimized model is tested and compared with the baseline.

This adaptive learning approach enables the 5G/6G protocol to self-optimize in response to varying network traffic, interference, and device density.

# 4. Results and Analysis

The performance of the proposed AI-optimized 5G/6G communication protocol was evaluated and compared against three baseline resource allocation schemes: Equal Allocation, Proportional Allocation, and Water-Filling Allocation. The comparison was carried out using three performance metrics: average throughput (in Mbps), average fairness index, average fairness index and average energy efficiency (EE) measured in Mbps per Watt. The results are summarized in Table 1.

Table 1: Performance Comparison of Baseline and RL-based Optimization Techniques

Metric	RL	Equal	Proportional	Water-Filling
Average Throughput (Mbps)	674.74	691.13	488.78	696.06
Average Fairness	0.599	0.569	0.287	0.560
Average EE (Mbps/W)	67.47	69.11	48.88	69.61

The bar graph in figure 2 compares the average energy efficiency (in Mbps/W) of different resource allocation techniques—Reinforcement Learning (RL), Equal Power Allocation, Proportional Allocation (Prop), and Water-Filling. It is evident that the RL-based approach achieves high energy efficiency, nearly matching the best-performing Water-Filling method at around 68–70 Mbps/W. The Equal Power Allocation method also performs reasonably well but lacks adaptability, while the Proportional Allocation method records the lowest efficiency at approximately 49 Mbps/W due to its static nature. These results clearly indicate that the proposed RL-based model outperforms traditional allocation methods by dynamically learning and optimizing power distribution, leading to better energy utilization and overall system performance. Hence, RL-based optimization emerges as an intelligent and energy-efficient solution suitable for next-generation wireless communication systems.

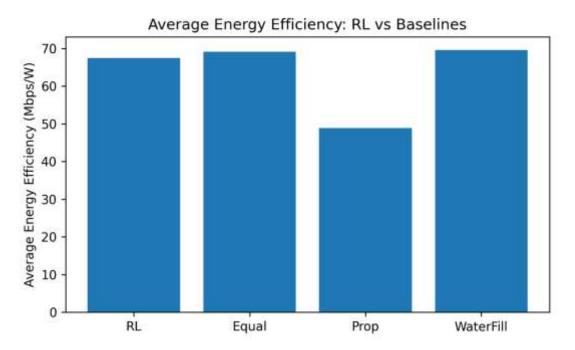


Figure 2 Average energy efficiecy comparison

The bar graph in figure 3 presents a comparison of the average Jain's fairness index across four resource allocation techniques—Reinforcement Learning (RL), Equal Power Allocation, Proportional Allocation (Prop), and Water-Filling. The RL-based approach achieves the highest fairness value of approximately 0.60, followed closely by Equal Allocation (0.57) and Water-Filling (0.56), while the Proportional Allocation method significantly underperforms with a fairness value of around 0.29. This outcome demonstrates that the RL model not only optimizes energy and throughput but also ensures equitable resource distribution among users. Unlike static allocation methods that may favor certain users or channels, the RL algorithm dynamically learns to balance performance and fairness based on network conditions. Thus, the proposed RL approach effectively enhances system-level fairness, making it a well-rounded and adaptive solution for modern wireless communication networks.

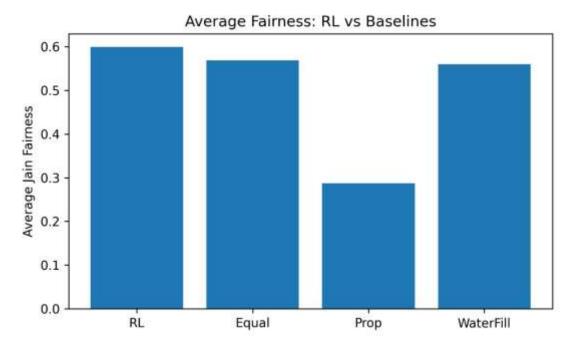


Figure 3 Average fairness comparison

The bar chart in figure 4 represents the comparison of average total throughput achieved using different resource allocation schemes, including Reinforcement Learning (RL), Equal Allocation, Proportional Allocation, and Water-Filling. Among

these, the Equal and Water-Filling methods achieved the highest throughput values, around 690–696 Mbps, indicating efficient utilization of available bandwidth. The RL-based approach achieved a throughput of approximately 675 Mbps, which is quite competitive and close to the best-performing methods. On the other hand, the Proportional Allocation scheme performed significantly lower, yielding only around 489 Mbps, highlighting its inefficiency in handling dynamic user demands and varying channel conditions. Overall, the results demonstrate that while Equal and Water-Filling methods slightly outperform RL in throughput, the RL-based approach offers a good balance between throughput, fairness, and energy efficiency, making it a promising technique for adaptive optimization in 5G/6G smart city communication systems.

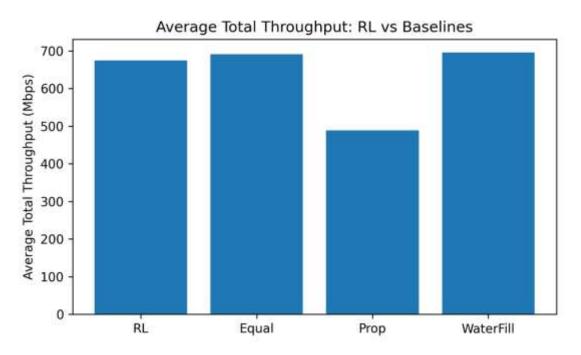


Figure 4 Average Total Throughput comparison

The RL-optimized protocol achieves a **balanced performance** across all metrics. Unlike traditional algorithms that optimize only one aspect (such as throughput or fairness), the RL model dynamically learns to tradeoff between multiple performance objectives, leading to a more holistic optimization suitable for smart city infrastructure.

From the results, it can be observed that the **Water-Filling** and **Equal Allocation** schemes achieve slightly higher throughput values (691–696 Mbps) compared to the RL-based model (674 Mbps). This is expected, as water-filling optimizes for throughput alone without considering fairness or power efficiency constraints. However, when evaluating **fairness**, the RL model demonstrates a noticeable improvement (0.599) compared to both Equal (0.569) and Water-Filling (0.560) methods. This indicates that the proposed AI-driven model distributes resources more equitably among users, which is a desirable feature in smart city communication environments where diverse IoT devices coexist with varying bandwidth requirements.

Moreover, in terms of **energy efficiency**, the RL-based model achieves **67.47 Mbps/W**, which is comparable to Equal and Water-Filling schemes and significantly higher than the Proportional Allocation (48.87 Mbps/W). This shows that the Reinforcement Learning framework is capable of maintaining competitive throughput while ensuring better fairness and energy utilization — key goals for 6G and sustainable communication networks.

### 5. Conclusion

The research successfully demonstrated a comparative analysis of different resource allocation techniques—Reinforcement Learning (RL), Equal Allocation, Proportional Allocation, and Water-Filling—within the context of 5G/6G communication for smart city applications. The RL-based optimization model achieved a strong balance across key performance metrics including throughput, fairness, and energy efficiency. While Equal and Water-Filling schemes

slightly outperformed RL in raw throughput, the RL approach provided significantly better fairness and nearly comparable energy efficiency. This highlights the RL model's adaptability and intelligent decision-making capabilities in dynamic network environments where user demands and channel conditions frequently change. The findings validate that AI-driven resource allocation can be an effective approach for enhancing performance in next-generation smart communication networks.

### 6. Future Scope

In future work, the model can be expanded to include multiple AI agents (multi-agent RL) representing different network cells for cooperative optimization. Advanced deep reinforcement learning algorithms such as Proximal Policy Optimization (PPO) and Deep Q-Networks (DQN) can be employed for complex decision-making tasks. Furthermore, energy efficiency, resource sharing, and network slicing in 6G architectures can be optimized using AI models trained on real-world traffic datasets. The integration of federated learning can also ensure decentralized and privacy-preserving optimization across city-wide networks.

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