

Handwritten Digit Recognition by Deep Learning

Shallu Dogra Assistant Professor, Dept of CSE, HIET, Kangra, HP, India

Ishav Mehra Student, Dept of CSE, HIET, Kangra, HP, India Nishant Student, Dept of CSE, HIET, Kangra, HP, India

Lavish Pathak Student, Dept of CSE, HIET, Kangra, HP, India

Abstract:

This paper evaluates recent advances in handwritten digit recognition models, focusing on strategies developed and deployed in practical applications. The project utilizes both traditional and deep learning approaches, employing architectures such as Convolutional Neural Networks (CNNs). This paper explores the comparative performance of various models, discusses their deployment in real-world scenarios, and highlights future prospects for enhancing handwritten digit recognition technology.

Keywords:

Handwritten Digit Recognition, Multi-Layer Perceptron (MLP), Convolutional Neural Networks (CNN), AlexNet, Deep Learning for Image Classification, Neural Networks, Image Preprocessing, Data Augmentation, Feature Extraction, Image to Grayscale Conversion, Image Normalization, Training and Validation, Accuracy Metrics, Model Evaluation, Transfer Learning, Classification Algorithms, Real-Time Processing, Computer Vision, Image Recognition.

Project Statement:

- Handwritten digit recognition focuses on converting images of handwritten digits into their corresponding numerical values.
- There is a wide variety of applications for handwritten digit recognition, including postal services, banking checks, and form processing.
- This project aims to understand the significance of handwritten digit recognition and apply different techniques to achieve high performance in this task.

L



Approach to Solution:

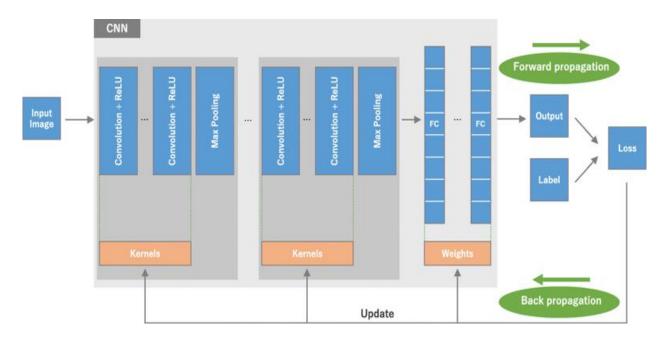
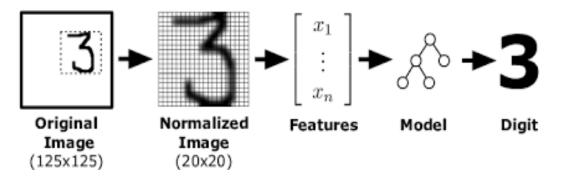


Fig.: CNN architecture

INTRODUCTION:

A popular demonstration of the capability of deep learning techniques is object recognition in image data. One of the most fundamental projects of object recognition for machine learning and deep learning is the MNIST dataset for handwritten digit recognition. Handwritten digit recognition is a multiclass supervised learning problem. In our work, we have additionally used various variants of the EMNIST dataset , which has more labels and data points, that aims to add a level of complexity to the project.



• DATASET OVERVIEW:

MNIST Dataset

- Purpose: Benchmark for handwritten digit classification.
- **Content:** 70,000 grayscale images (60,000 training and 10,000 testing) of digits (0-9), each 28x28 pixels.

Ι

• Usage: Widely used for developing and testing image classification algorithms.

EMNIST Dataset (Extended MNIST)

- **Purpose:** Extends MNIST to include handwritten letters and digits.
- **Content:** Over 800,000 grayscale images of digits (0-9), uppercase (A-Z), and lowercase letters (a-z), each 28x28 pixels.
- Variants: Includes subsets like balanced, byclass, bymerge, digits, and letters.
- Usage: Suitable for more complex classification tasks involving alphanumeric characters.

• ALGORITHMS USED:

1. MLP Model:

The model is a simple neural network with one hidden layer with the same number of neurons as there are inputs (784). A rectifier activation function is used for the neurons in the hidden layer. The output of this model are **logits**, meaning they are real numbers which can be transformed into probability-like values using a ReLU function.

Architecture and Layers

- Fully Connected Layers:
 - o fc1: Linear(784, 128)
 - o fc2: Linear(128, 64)
 - o fc3: Linear(64, 10)

Activation Function

• **ReLU**: The ReLU activation function introduces non-linearity, allowing the model to learn complex patterns.

Normalization

• **Standardization**: Normalization with mean 0.5 and standard deviation 0.5 helps in stabilizing and speeding up the training process.

Optimizer

• Adam: Adaptive moment estimation optimizer that combines the advantages of two other extensions of stochastic gradient descent. It computes individual adaptive learning rates for different parameters.

Input Size

• **28x28**: The input size is a flattened 28x28 image, which is 784 pixels.



Number of Parameters

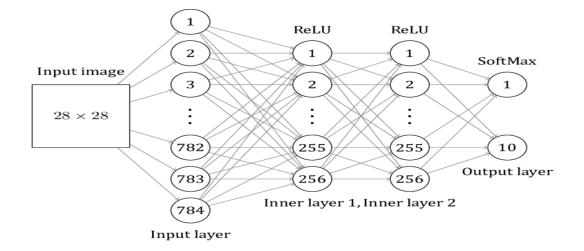
• **109,386**: This model has a relatively high number of parameters due to the fully connected layers, which can make it prone to overfitting if not properly regularized.

Loss Function

• **CrossEntropyLoss**: Suitable for classification tasks, it measures the performance of a classification model whose output is a probability value between 0 and 1.

Analysis:

- Strengths:
 - Simplicity: The MLP model is straightforward, making it easier to implement and train.
 - Fully connected layers can capture global patterns.
 - The model is lightweight compared to more complex architectures.
- Weaknesses:
 - Lack of spatial awareness: Since MLP flattens the input, it may lose spatial information, which is crucial for image data.
 - Higher number of parameters compared to CNN models, leading to potential overfitting if not managed properly.
- Performance:
 - The MLP model might show reasonable performance on simple datasets but can struggle with more complex image recognition tasks.
 - It can be computationally intensive due to the fully connected nature, especially as input dimensions increase.



2. Simple CNN Model:

A convolutional neural network that has more layers than the baseline MLP was employed, which was successfully able to increase the accuracy. This model consists of convolution, pooling, fully connected layers in addition to Relu for activation.

Architecture and Layers

- Convolutional Layers:
 - conv1: Conv2d(1, 16, 5)
 - o conv2: Conv2d(16, 32, 5)
- Max Pooling Layers:
 - After conv1 and conv2: MaxPool2d(kernel_size=2)
- Fully Connected Layers:
 - o fc1: Linear(512, 128)
 - o fc2: Linear(128, 10)

Activation Function

• **ReLU:** The ReLU activation function introduces non-linearity, allowing the model to learn complex patterns.

Normalization

• **Standardization:** Normalization with mean 0.5 and standard deviation 0.5 helps in stabilizing and speeding up the training process.

Optimizer

• Adam: Adaptive moment estimation optimizer that combines the advantages of two other extensions of stochastic gradient descent. It computes individual adaptive learning rates for different parameters.

Input Size

• **28x28:** The input size is a 28x28 image.

Number of Parameters

• **80,202:** This model has fewer parameters compared to the MLP model due to its convolutional nature.

Loss Function

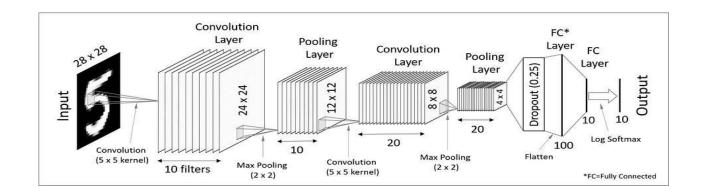
• **CrossEntropyLoss:** Suitable for classification tasks, it measures the performance of a classification model whose output is a probability value between 0 and 1.

Analysis:

- Strengths:
 - Spatial Pattern Recognition: CNNs are well-suited for image data as they can capture

spatial hierarchies and learn feature hierarchies automatically.

- Efficient Parameter Use: The model efficiently reduces parameters through convolutional layers.
- Weaknesses:
 - **Complexity:** Requires careful tuning of convolutional layers and may be more computationally intensive than MLPs due to larger parameter sizes.
- Performance:
 - **Better for Image Data:** The Simple CNN model generally performs better on image recognition tasks compared to MLP due to its ability to capture spatial features.
 - **Computational Needs:** While computationally more efficient than fully connected networks, it still requires significant resources, especially for larger datasets.



3. LeNet 5 Model:

One of the earliest demonstrations of the effectiveness of convolutional layers in neural networks is the "LeNet5" model. This model is developed to solve the MNIST classification problem. It has three convolutional layers and two fully connected layers to make up five trainable layers in the model.

Architecture and Layers

- Convolutional Layers:
 - conv1: Conv2d(1, 6, 5)
 - o conv2: Conv2d(6, 16, 5)
- Max Pooling Layers:
 - After conv1 and conv2: MaxPool2d(kernel_size=2)
- Fully Connected Layers:
 - o fc1: Linear(256, 120)
 - o fc2: Linear(120, 84)
 - o fc3: Linear(84, 10)

Activation Function

• **ReLU:** The ReLU activation function introduces non-linearity, allowing the model to learn complex patterns.



Normalization

• **Standardization:** Normalization with mean 0.5 and standard deviation 0.5 helps in stabilizing and speeding up the training process.

Optimizer

• Adam: Adaptive moment estimation optimizer that combines the advantages of two other extensions of stochastic gradient descent. It computes individual adaptive learning rates for different parameters.

Input Size

• **28x28:** The input size is a 28x28 image.

Number of Parameters

• 44,186: This model has the fewest parameters among the three models due to its efficient design.

Loss Function

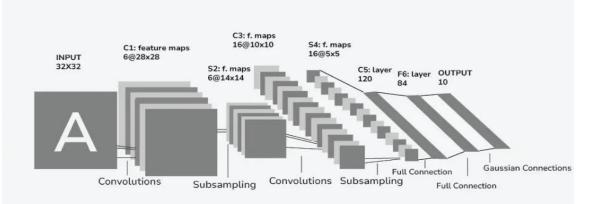
• **CrossEntropyLoss:** Suitable for classification tasks, it measures the performance of a classification model whose output is a probability value between 0 and 1.

Analysis:

- Strengths:
 - **Classic and Proven:** LeNet-5 is a classic CNN architecture known for its effectiveness in handwritten digit recognition tasks.
 - **Efficiency:** It strikes a balance between model complexity and efficiency, making it less computationally intensive.
- Weaknesses:
 - **Older Design:** May not capture very fine details in complex images due to its older architecture design.
- Performance:
 - **Balanced Performance:** LeNet-5 generally offers balanced performance, making it suitable for a wide range of image recognition tasks without being too resource-heavy.
 - **Computationally Efficient:** Less computationally intensive than more modern and complex architectures, making it suitable for deployment on less powerful hardware.







• COMPARISON BETWEEN MODELS:

On experimenting with different datasets, specified models and tweaking various variables, we have tried to sum up our conclusions in the following table. Every model, takes an input image of 28 x 28 pixels.

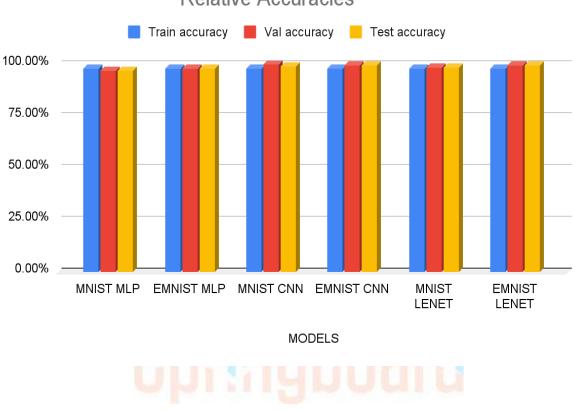
Features	Inputs						
Model	MLP	MLP		Simple CNN		LeNet 5	
Architecture	Fully Co	Fully Connected		Convolutional		Convolutional	
Dataset Used	MNIST	EMNIST	MNIST	EMNIST	MNIST	EMNIST	
Data Description	10 labels, 70,000 data points	10 labels, 280,000 data points	10 labels, 70,000 data points	10 labels, 280,000 data points	10 labels, 70,000 data points	10 labels, 280,000 data points	
Type of Model	_	Simple Neural Network		Convolutional Neural network		Deep Neural Network	
Layers	3	3		6		7	
Epochs	10	10		10		10	
Input Shape	28x28	28x28		28x28		28x28	
Activation Function	ReLU	ReLU		ReLU		ReLU	
Optimizer	Adam	Adam		Adam		Adam	
Loss Function	CrossEntr	CrossEntropyLoss		CrossEntropyLoss		CrossEntropyLoss	
Normalization	Yes ((0.5	Yes ((0.5,), (0.5,))		Yes ((0.5,), (0.5,))		Yes ((0.5,), (0.5,))	
No. Parameters	of 109,386	109,386		80,202		44,186	

Т

Training Accuracy	97.94%	98.97%	99.65%	99.79%	99.42%	99.62%
Validation Accuracy	96.88%	98.28%	98.98%	99.43%	98.84%	99.37%
Testing Accuracy	96.87%	98.28%	99.09%	99.41%	98.77%	99.39%

• **OBSERVATIONS:**

CNN and Lenet models outperformed the baseline MLP, across all datasets that were used.



Relative Accuracies

As the complexity of the dataset increases, performance decreases. So, we chose MNIST trained models for our final UI as they performed better.

Deployment:

The digit recognition model was deployed using a FastAPI framework and containerized using Docker. The model was hosted on Azure, achieving high availability and rapid inference speeds. The project also incorporated a CI/CD pipeline with GitHub Actions, ensuring seamless updates and scalability. This infrastructure enables efficient deployment and management of the handwritten digit recognition system, facilitating continuous improvement and adaptability to varying loads.





Fig.: Roadmap for deployment

• **REFERENCES:**

- [1] "An Introduction to Convolutional Neural Networks": researchgate.net/publication/285164623 An Introduction to Convolutional Neural Networks
- [2] "Activation Functions: Comparison of Trends in Practice and Research for Deep Learning", Chigozie Enyinna Nwankpa, Winifred Ijomah, Anthony Gachagan, and Stephen Marshall: https://arxiv.org/pdf/1811.03378.pdf
- [3] "Basic Overview of Convolutional Neural Network": https://medium.com/dataseries/basic-overview-ofconvolutional-neural- network-cnn-4fcc7dbb4f17
- [4] https://github.com/dixitritik17/Handwritten-Digit-Recognition .
- [5] https://scikit-learn.org/stable/modules/svm.html
- [6] https://en.wikipedia.org/wiki/LIBSVM .
- [7] https : //github.com/Flame Atlas/Handwritten Digit Recognition using MLP/blob/master/ANN.py – –
- [8] https://www.learnopencv.com/image classification -using - feedforward - neural - network - in - keras/
- [9] "How Do Convolutional Layers Work in Deep Learning Neural Net- works": https://machinelearningmastery.com/convolutional -layers - for - deep - learning - neural - networks/
- [10] https://machinelearningmastery.com/rectified-linear-activation-function- for-deep-learning-neural-networks/.
- [11] https://machinelearningmastery.com/pooling-layers-for-convolutional- neural-networks/ .
- [12] https://medium.com/@amarbudhiraja/https-medium-com- amarbudhiraja-learning-less-to-learn-better-dropout- in-deep-machine- learning-74334da4bfc5 .
- [13] https://medium.com/dataseries/basic-overview-of-convolutional-neural- network-cnn-4fcc7dbb4f17.
- [14] Ishani Patel, Virag Jagtap, Ompriya Kale, "A Survey on Feature Extraction Methods for Handwritten Digits Recognition", IJCA (0975 – 8887), Volume 107 – No 12, Dec (2015).
- [15] K. Gaurav and Bhatia P. K., "Analytical Review of Preprocessing Techniques for Offline Handwritten Character Recognition", 2nd International Conference on Emerging Trends in Engineering & Management, ICETEM, 2014.
- [16] Salvador España-Boquera, Maria J. C. B., Jorge G. M. and Francisco Z. M., "Improving Offline Handwritten Text Recognition with Hybrid HMM/ANN Models", IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 33, No. 4, April 2014
- [17] Reena Bajaj, Lipika Dey, and S. Chaudhury, "Devnagari numeral recognition combining decision of multiple connectionist classifiers", Sadhana, Vol.27, part. 1, pp.-59-72, 2011.. [5] U. Pal, T. Wakabayashi and F. Kimura, "Handwritten numeral recognition of six popular scripts," Ninth International conference on Document Analysis and Recognition ICDAR 07, Vol.2, pp.749-753, 2010. [6] Ishani Patel, Virag Jagtap ,Ompriya Kale ,"A Survey on Feature Extraction Methods for Handwritten Digits Recognition", IJCA (0975 8887), Volume 107 No 12, Dec (2015)