

## “LINKABLE RING SIGNATURES FOR BLOCKCHAIN PRIVACY PROTECTION”

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**ABSTRACT:** A numerous security vulnerabilities have emerged within the PK system. For example, a compromised CA can issue illegal or fake certificates for any domains, and a CA can issue unauthorized certificates without the consent of the domain owner. In addition, some high-value target domains, such as bank and government agencies may have been frequently attacked, and the adversaries can launch the targeted attacks by making use of the disclosure of the issuing CAs. To address these pressing issues or challenges, in this work, we propose a novel blockchain-based PKI framework using linkable ring signatures, called LRS\_PKI. Specially, we propose a novel certificate issuance mechanism that utilizes linkable ring signatures to hide the issuing CA, so as to reduce the risk of the PKI system being attacked. Additionally, we introduce the blockchain as a public log to record the certificate operations, and adopt the decentralized storage IPFS to store the certificates to decouple the blockchain layer and storage layer. In order to prevent the CA from issuing unauthorized certificates, we have added a condition to verify whether the issuing CA in the certificate verification.

**INTRODUCTION:** In today's digital landscape, privacy and security in online communication are paramount. Transport Layer Security (TLS) stands as widely-used encryption protocol, ensuring the security

And integrity of network communication. TLS relies on Public Key Infrastructure (PKI) for identity authentication and secure communication. PKI utilizes digital certificates issued by Certificate Authorities (CAs) to verify the identities of website. CAs play a crucial role in issuing, managing, and revoking certificates, making them vital to PKI's security. Thus, the integrity of PKI hinges on the trustworthiness of the CAs issuing TLS certificates to websites.

However, such a centralized architecture of PKI has brought great challenges to secure communication in reality.

[1] CAs, or Certificate Authorities, have been targeted in cyber attacks, compromising the security of internet communication. In 2011, both Comodo and Digi Notar, prominent CAs, fell victim to hacks. Attackers were able to steal digital certificates, allowing them to impersonate legitimate websites like mail.google.com and login.yahoo.com. This breach enabled attackers to conduct man-in-the-middle attacks, putting millions of users at risk of having their data intercepted and manipulated.

[2] Weakness in Registration Authority (RA) auditing process have been exploited, allowing individuals without domain ownership to obtain legitimate certificates. In a notable case in September 2016, WoSign was discovered to have issued root certificates for domains like github.com to individuals

Who only owned subdomains on platforms like GitHub. This oversight allowed anyone with a registered account on GitHub to claim ownership of a subdomain and obtain a certificate for the parent domain.

[3] CAs wield significant power, capable of issuing certificates without the consent of domain owners. In notable instances, Thawte, a subsidiary of Symantec, issued thousands for various domain names, including Google-owned and nonexistent domains, without proper authorization. Similarly, Trustwave distributed subordinated root certificates to users for network traffic monitoring but ended up issuing fraudulent TLS certificates to multiple domains.

These incidents highlight the inherent risks in centralized PKI systems, where a single mistake or attack on a CA can severely disrupt secure communication. To address these issues, existing solutions typically fall into two categories: CA-based trust dispersal and log-based PKI systems.

**CA-based Trust Disperse:** involves mitigating the power of a single CA by involving multiple CAs or entities in certificate operations. This strategy includes methods like the PGP web of trust [1], where identities are verified through digitally signed certificates from other users. Another approach is ARPKI [2], which coordinates certificate signature and verification across multiple CAs in a sequential manner.

**Log-based PKI:** relies on centralized log servers to publicly record certificates issued by CAs for auditing purposes. These log servers maintain a structured log of certificate issuance using techniques like Certificate Transparency (CT) [3], AKI [4], and CIRT.CT [5], for instance, employs a unified hash tree to log certificate operations, ensuring transparency and accountability in PKI system.

Blockchain-based PKI integrates the strengths of both CA-based trust dispersal and log-based PKI, while also addressing issues of low-cost trust and public auditability. Leveraging the decentralized, tamper-resistant, and traceable nature of blockchain technology, it offers a solution to mitigate the risks associated with a centralized CA system, including single points of failure and CA misconduct. Projects like Certcoin [6], IKP [7], CertLedger [8], Certchain [9], and BCTRT [10] have demonstrated the benefits of blockchain-based PKI. However, challenges remain, such as:

[1] **Privacy-preserving of issuing CA :** Preserving the privacy of issuing Certificate Authorities (CAs)

presents a critical challenge in PKI systems. While current privacy measures focus on protecting user identity data, the anonymity of CAs is often overlooked. However, exposing CAs through publicly logged operations can make them vulnerable to targeted attacks, especially for high-value entities like banks or government agencies. Attackers can exploit this information to identify active CAs and launch attacks against them, expanding the PKI system's attack surface. Therefore, developing a privacy-preserving scheme for CAs is essential to enhance PKI security.

[2] **A single CA has too much power:** The concentration of power within a single Certificate Authority (CA) poses a significant risk in PKI systems. Any CA holds the authority to issue valid certificates for any domain, meaning a compromised CA can affect the security of all domains on the network. Additionally, even a non-domain issuing CA could potentially issue a valid certificate for a domain, further exacerbating security concerns. This highlights the need to address the excessive authority granted to individual CAs to strengthen PKI system security.

[3] **Certificates Storage:** Storing certificates on existing blockchains poses challenges due to limited block sizes and generation times. To address this, a novel blockchain-based PKI framework called LRS\_PKI is proposed. LRS\_PKI utilizes linkable ring signatures to hide the issuing Certificate Authority (CA), enhancing privacy and protection against targeted attacks. It introduces the concept of a "RingCA" in the certificate trust chain. Additionally, LRS\_PKI employs the Link algorithm to verify the consistency of issuing CAs during certificate validation, limiting CA power. Certificates are stored transparently and traceably on the blockchain, with operations recorded using InterPlanetary File System (IPFS) to decouple storage from the blockchain layer. The article is structured into several sections: Section 2 discusses related work in the field of Public Key Infrastructures (PKIs), Section 3 provides background information necessary to comprehend LRS\_PKI, and Section 4 outlines the basic architecture of LRS\_PKI, including its threat model and design objectives. Section 5 offers a detailed description of the implementation of LRS\_PKI, while Sections 6 and 7 analyze the security aspects and evaluate the performance of the system. Finally, conclusions drawn from the study are presented in Section 8.

## RELATED WORK:

Current research on PKI focuses on several categories. First, efforts aim to disperse the trust of Certificate Authorities (CAs) in PKI systems by diminishing their authority, thus reducing the risk associated with a single point of failure. Second, there's a focus on Log-based PKI and blockchain-based PKI, which aim to enhance transparency and public accessibility of certificates. Lastly, to mitigate the storage overhead of blockchain-based PKI, certificates are stored in InterPlanetary File System (IPFS), a decentralized file storage system.

### 2.1 CA-based trust Disperse

Certainly, The decentralized Public Key Infrastructure (PKI) systems using blockchain technology. CA-based Trust Disperse, employing a Web of Trust (WOT), aims to decentralize trust by involving multiple Certificate Authorities (CAs) [2] in certificate signature and verification processes, ensuring security against forgery attacks even if some CAs are compromised. However, this method may suffer from efficiency issues and susceptibility to Denial of Service (DoS) attacks [12]. Conversely, the Dynamic Blockchain-based PKI (DBPKI) model eliminates the need for CAs entirely, with PKI nodes autonomously managing key registration and revocation, bolstered by the Practical Byzantine Fault Tolerance (PBFT) protocol for consensus. Smart Contract-based PKI (SCPki) [13] leverages smart contracts and WOT principles to enable entities to verify each other's identity attributes, sidestepping traditional CA issuance. Despite advancements, challenges remain in ensuring non-repudiation, efficient revocation handling, and addressing prior trust relationship requirements. These models reflect ongoing efforts to devise decentralized PKI solutions capable of navigating the complexities of modern digital ecosystems.

### 2.2 Log-based PKI

The concept of log-based PKI revolves around recording certificate operations in an immutable public log, ensuring transparency and accountability in certificate issuance. Certificate Transparency (CT) [3], pioneered by Google, aims to achieve this transparent

by making all CA-issued certificates publicly accessible and auditable. ARPKI [2], AKI [4], and PoliCert [14] schemes, inspired by CT, employ log servers to record certificate operations, enhancing transparency and enabling the detection of CA misconduct. CIRT [5] extends CT by incorporating two Merkle trees to record certificate operations and achieve revocation transparency (RT). However, log-based PKI has drawbacks, including centralized storage of log records and an expanded attack surface due to the presence of log servers, making systems vulnerable to split-world attacks [15] where attackers manipulate logs to deceive users. Additionally, there's a lack of effective mechanisms to incentivize recording, monitoring of CA behaviour.

### 2.3 Blockchain-based PKI

Blockchain-based PKI systems leverage the decentralized and immutable nature of blockchain technology to address the limitations of traditional PKI trust systems. Examples include CertCoin [6] and BlockStack [16], use Namecoin-based decentralized PKI systems, binding public keys to user identities or linking human-readable names with public keys. However, these systems do not fully cover PKI architecture aspects. PB-PKI and Cecoin propose privacy-enhanced approaches, but they lack compatibility with transparency requirements and certificate revocation explanations. CertChain introduces a public auditable model with a new entity bookkeeper but risks leaking user privacy [18]. CertLedger aims to prevent split-world attacks by managing certificate operations within the blockchain [8], while Yakubov's [19] framework assigns dedicated smart contracts to each CA for certificate issuance and revocation recording. Proofchain decentralizes the CA pool and ensures compatibility with existing X.509 standards using proof-of-issuance (PoI) [20], but all these blockchain-based PKI schemes storing certificates directly on the blockchain face challenges such as increased data volume and complexity in node synchronization, potentially compromising blockchain network performance.

### 2.4 Certificate stored in IPFS:

Certainly! Several proposals advocate for enhancing the privacy and authentication capabilities of Public Key Infrastructure (PKI) systems by storing

certificates in the InterPlanetary File System (IPFS). For instance, Chen et al. [21] introduced XAuth, a cross-domain authentication scheme integrated with Certificate Transparency (CT), which utilizes IPFS to store certificate logs. This approach facilitates blockchain-based verification while preserving user privacy. Similarly, SCPKI, proposed by Al-Bassam et al. [13], leverages IPFS to store attribute data, enabling users to manage certificates and PGP keys through IPFS and PGP interfaces. Another example is the blockchain-based PKI architecture proposed by Zhang et al. [22] for vehicular ad hoc networks (VANETs), which stores certificates in IPFS for direct verification by verifiers. However, despite these advancements, these schemes often lack sufficient privacy protection for issuing Certificate Authorities (CAs), leaving them vulnerable to targeted attacks. Additionally, they may fail to prevent misbehaving CAs from issuing certificates, compromising system integrity. Addressing these concerns, the proposed LRS\_PKI aims to provide robust privacy protection for CAs while mitigating storage expansion by avoiding direct certificate storage on the blockchain. Moreover, LRS\_PKI seeks to distribute authority more evenly among CAs, preventing the dominance of a single CA and enhancing the overall security of the PKI system. Operating on a private blockchain, LRS\_PKI is particularly well-suited for domains with stringent security requirements such as finance, e-government, and banking.

## BACKGROUND:

### 3.1 Algorithm Model

The linkable ring structure scheme of LRS\_PKI contains 5 sub-algorithms: Setup, Key Gen, Sign, Verify, and Link as follows:

$\text{Setup}(\lambda) \rightarrow \text{params}$ : This algorithm takes a security parameter  $\lambda$  and outputs system parameters  $\text{params}$ .

$\text{KeyGen}(\lambda, \text{params}) \rightarrow (PK, sk)$ : Given  $\lambda$  and  $\text{params}$ , this algorithm outputs a user's public key  $PK$  and private key  $sk$ .

$\text{Sign}(M, n, S, sk) \rightarrow (\sigma, Q)$ : Given a message  $M$ , a set of  $n$  member public keys  $S$ , and the signer's private key  $sk$ , this algorithm outputs the signature  $\sigma$  and the link tag  $Q$ .

$\text{Verify}(\text{params}, M, S, \sigma, Q) \rightarrow \text{accept/reject}$ : Given system parameters  $\text{params}$ , a message  $M$ , a set of member public keys  $S$ , a signature  $\sigma$ , and a link tag  $Q$ , this algorithm determines if the signature is valid.

$\text{Link}(S, M_1, M_2, \sigma_1, Q_1, \sigma_2, Q_2) \rightarrow \text{linked/unlinked}$ : Given a set of user public keys  $S$ , two different messages  $M_1$  and  $M_2$ , and their corresponding ring signatures  $(\sigma_1, Q_1)$  and  $(\sigma_2, Q_2)$ , this algorithm determines if the signatures are valid and linkable.

### 3.2 Security Model

The Linkable Ring Signature (LRS) scheme of LRS\_PKI must satisfy three fundamental properties: unforgeability, anonymity, and linkability, as defined by the game involving a simulator and an adversary, along with various oracles. Unforgeability is defined in terms of a game between the simulator and the adversary, where the adversary attempts to produce forged signatures. The adversary wins if it can create valid ring signatures on a given message with a set of public keys, without querying the corresponding private keys and without obtaining the signature through the signing oracle. This definition ensures that the LRS scheme is resistant to forging attacks, thus preserving the integrity of the signatures within the system.

**Definition 1 (Unforgeability).** If there is no ppt adversary that can win the following game with a non-negligible advantage, then the LRS scheme is considered unforgeable.

1. generate the system parameters  $\text{params}$  and send them to  $\mathcal{A}$ .
  2.  $\mathcal{A}$  makes the adaptive queries to the  $\text{KeyGen}$ ,  $\text{Sign}$ , and the random oracle  $\mathcal{H}$ .
  3.  $\mathcal{A}$  outputs a message  $M^*$ , a set of  $n$  public keys  $S^*$ , and two forged signatures  $\sigma_0, \sigma_1$ .
- $\mathcal{A}$  Wins the game if the following four conditions hold: (1)  $\sigma_0^*, \sigma_1^*$  are valid ring signatures on message  $M^*$ .
- (2) All the public keys in  $S^*$  are obtained by querying the  $\text{KeyGen}$ .
  - (3)  $\mathcal{A}$  has not queried the corresponding private key in  $S^*$ .
  - (4)  $\sigma_1^*$  is not obtained by querying the  $\text{Sign}$ .



The advantage of  $\Pi$  in the above game is defined as:

$Adv_{for \Pi} = Pr[\Pi \text{ wins}]$ .

An LRS scheme is considered anonymous if no probabilistic polynomial-time (PPT) adversary can achieve a significant advantage in winning a particular game.

An LRS scheme is deemed anonymous if no probabilistic polynomial-time (PPT) adversary, denoted as  $\mathcal{A}$ , can gain a significant advantage in winning the following game:

1. The challenger  $C$  generates system parameters  $params$  and sends them to  $A$ .

2.  $A$  conducts adaptive queries to the oracle.

3.  $A$  sends a message  $M^*$  along with a set of  $n$  user public keys  $S^* = \{PK_1, PK_2, \dots, PK_n\}$  to  $C$ , where all public keys are obtained by querying the oracle.  $C$  randomly selects  $\pi$  from  $\{1, 2, \dots, n\}$  and computes the signature  $\sigma_\pi = \text{Sign}(M^*, n, S^*, sk_\pi)$ , where  $sk_\pi$  is the private key corresponding to  $PK_\pi$ , and then sends  $\sigma_\pi$  to  $A$ .

4.  $A$  outputs a guess ' $\pi'$ ' from  $\{1, 2, \dots, n\}$ . If ' $\pi' = \pi$ ', the adversary  $A$  wins the game.

The advantage of  $\Pi$  in the above game is defined as:

$$Adv_{\Pi} = |Pr[\pi' = \pi] - \frac{1}{n}|.$$

**Definition 3 (Linkability):** In the context of Linkability, if there exists no probabilistic polynomial-time (PPT) adversary  $\Pi$  that can secure a non-negligible advantage in winning the described game, then the LRS scheme is deemed linkable.

1. Party  $A$  generates the system parameters  $params$  and transmits them to Party  $B$ .
2. Party  $B$  dynamically makes inquiries to the functions  $f_1, f_2$ , and  $f_3$ .
3. Party  $B$  produces two sets of linkable ring signature values  $((S, M_1, \sigma_1, Q_1))$  and  $((S, M_2, \sigma_2, Q_2))$ .

4. Party  $B$  produces two sets of linkable ring signature values  $(S, M_1, \sigma_1, Q_1)$  and  $(S, M_2, \sigma_2, Q_2)$ .

$\Pi$  wins the game if the following four conditions are hold

Party  $B$  wins the game if the following four conditions are met:

1. All the public keys in Party  $A$  are acquired by querying the function  $f_1$ .
2. Party  $B$  obtains at most one user's private key in Party  $A$  by querying the function  $f_2$ .
3. The sets  $(S, M_1, \sigma_1, Q_1)$  and  $(S, M_2, \sigma_2, Q_2)$  constitute valid linkable ring signatures, and  $(\sigma_1)$  and  $(\sigma_2)$  are not obtained by querying Party  $A$ .
4. The link function  $\text{Link}(S, M_1, M_2, \sigma_1, \sigma_2) \rightarrow \text{unlinked}$  holds true.

The advantage of Party  $B$  in the above game is defined as:

$$Adv_B = Pr[B \text{ wins}]$$

### 3.3. Linkable ring signatures algorithm

To safeguard the issuing Certificate Authority's (CA) privacy during certificate issuance, the LRS\_PKI system employs a linkable ring signature algorithm. This algorithm ensures that users can trust the certificate's origin within a group without disclosing the specific issuer. To address the storage and communication requirements of certificates in LRS\_PKI, our linkable ring signature scheme prioritizes both rapid certificate responses and the privacy of issuing CAs. Hence, we adopt the linkable ring signature proposed in reference [23]. Notations utilized in this context are outlined in Table 1. Below delineates the linkable ring signature algorithm:

Table 1

Notation setting.

Notations	Description
$p$	The large prime number
$Z_q$	Ring of the integers modulo $q$
$Z_q^*$	$Z_q \setminus \{0\}$
$PK_i$	The public key of user $i$
$sk_i$	The private key of user $i$
$\sigma_i$	The signature of user $i$
$E(F_p)$	An elliptic curve defined over a finite field $F_p$
$\mathbb{G}$	The additive cyclic group composed of the points on the elliptic curve
$G$	The generator of the cyclic group $\mathbb{G}$
$H_1$	A hash function returning a value in $Z_q^*$
$H_p$	A map-to-point hash function
$L_{PK}$	The set of member public keys
$Cert_i$	The certificate for domain $i$
$h_{num}$	The block height
$Req_i$	A certificate operation request
$CID_i$	A content identifier in IPFS

**1. System initialization algorithm:** The algorithm inputs the security parameter  $\lambda$  and outputs the system parameters  $params = \{p, F_p, E(F_p), G, G, q, H_1, H_p\}$ , where  $p$  is a large prime number,  $E(F_p)$  is an elliptic curve defined over a finite field  $F_p$ ,  $G$  represents the additive cyclic group composed of the points on the elliptic curve,  $G$  is the generator of the cyclic group  $\mathbb{G}$ , the order is prime  $q$ ,  $H_1 : \{0,1\}^* \rightarrow Z_q^*$ ,  $H_p : \{0,1\}^* \rightarrow \mathbb{G}$  are two safe hash functions.

**2. Key generation algorithm:** The private key of user  $A$  is  $sk_A \in R Z_q^*$ , and the public key is  $PK_A = sk_A \cdot G$ . **3. Linkable ring signature generation:** The signer arbitrarily selects  $n - 1$  user public keys and adds his own public key to form a group  $L = \{PK_1, PK_2, \dots, PK_n\}$ , and the signer is the  $\pi$ -th ( $1 \leq \pi \leq n$ ) user who generates a linkable ring signature for the message  $m$  using the private key  $sk_\pi$  and the ring member public key  $L$ . The following algorithm generates a linkable ring signature.

System initialization is a crucial process in computing where a system is prepared for use. It involves a series of steps to bring the system from a powered-down or inactive state to an operational state where it can execute tasks or run applications.

(1) Compute the link tag  $Q_\pi = sk_\pi \cdot Hp(PK_\pi)$ .

(2) Randomly select  $k_\pi \in Z_q^*$  and compute  $c_{\pi+1} = H_1(L, Q_\pi, m, k_\pi \cdot G, k_\pi \cdot Hp(PK_\pi))$  (1)

(3) For  $i = \pi + 1, \dots, n, 1, \dots, \pi - 1$ , randomly generate  $s_i \in Z_q^*$ , and compute  $Vi = s_i \cdot G + c_i \cdot PK_i$   $Wi = s_i \cdot Hp(PK_i) + c_i \cdot Q_\pi$   $c_{i+1} = H_1(L, Q_\pi, m, Vi, Wi)$  where  $c_1 = c_{n+1}$ .

(4) Compute  $s_\pi = (k_\pi - c_\pi \cdot sk_\pi) \bmod q$  (5) Output the linkable ring signatures  $\sigma L(m) = (Q_\pi, c_1, s_1, \dots, s_n)$  (2) (3) (4) (5) (6)

**4. Linkable ring signature verification:** To verify the received message  $m'$  and its linkable ring signature  $\sigma'$

$L(m) = (Q'_{\pi}, c'_1, s'_1, \dots, s'_n)$ , the verifier performs the following

steps: (1) Check whether  $c'_1, s'_i (1 \leq i \leq n) \in Z_q^*$ . If not, the verification fails, otherwise go to the next

step. (2) For  $i = 1, 2, \dots, n$ , calculate the followings in turn:

$V'_i = s'_i \cdot G + c'_i \cdot PK_i$  4

### linkable ring signature:

Linkable ring signatures are cryptographic constructs that allow a group of users to collectively sign a message while preserving the anonymity of the signer within the group. Verification of such signatures involves confirming that the signature was generated by a member of the specified group without revealing the identity of the actual signer. This is achieved by utilizing a mathematical structure where each signer's public key is mixed with others in the group, creating a "ring" of keys. To verify a signature, the verifier checks that the signature is valid with respect to the message and the public keys in the ring. However, ensuring non-plagiarism in the context of linkable ring signatures typically involves additional measures beyond standard verification, such as ensuring the uniqueness of the signed messages or employing external mechanisms to detect duplicate signatures.

### 5.3 Certificate revocation with linkability

In the certificate revocation process depicted in Figure 5, several key steps are followed. When changes occur in the personal information of the domain owner or if the private key of the certificate becomes compromised, the domain owner initiates a certificate revocation request directed towards the Ring CA. Similar to the certificate update procedure, the issuing CA's consistency is verified as a prerequisite.

Once the verification is successfully completed, the Ring CA employs linkable ring signatures to authenticate the certificate revocation operation. Subsequently, a miner integrates this transaction into a block, which is then broadcasted and added to the blockchain.

This process ensures that when critical changes or compromises occur, the necessary steps are taken to revoke the affected certificate while maintaining the integrity and security of the overall system.

In the blockchain context, certificates cannot be directly deleted due to the immutable nature of blockchain data. So, when a domain owner requests certificate revocation, the certificate's status is updated to "revoked," rather than being deleted outright. The process of generating a revoked certificate follows the same steps as certificate registration and updates.

During this process, the block height serves as a reference point for locating the block containing the certificate operation, aiding in the verification of its authenticity. Despite revocation, the certificate is retained in IPFS to maintain the integrity of the certificate audit process.

Subsequently, the Ring CA transmits the certificate to the Certificate Revocation List (CRL), where the serial number of the revoked certificate along with its revocation date are stored. This ensures that the revoked certificate is duly recorded and can be referenced when needed, despite no longer being valid for use.

#### Algorithm 1 Certificate Validation

**Input:**  $CertA, CRLRingCA, h_{lastOpenum}$ .

**Output:**  $b \in \{1, 0\}$ . (The certificate is valid or not.)

**procedure**  $CertVer(CertA, CRLRingCA, h_{lastOpenum})$

$T \leftarrow Extract\_Cert$  ;  
 $TC_{urrent} \leftarrow Extract\{Time\}$  ;  
 $\sigma_{RingCA} \leftarrow Extract\_CertA$  ;  
 $LPK \leftarrow Extract\_CertA$  ;

**if**  $TC_{urrent} > T_{NotAfter}$  **then return** 0;

#### **return** 1;

**procedure**  $REvChEcK(CertA, CRLRingCA)$

$Serial \leftarrow Extract\_Cert$  ;  
**if**  $SerialA \notin CRLRingCA$  **then**  
    **if**  $certRevRequest[SerialA] == 1$  **then return** 0;  
    **else return** 1;  
**else return** 0;

### 5.4 Certificate validation with linkability

When a client initiates a TLS connection request to a target domain and receives a certificate from the domain, it needs to perform a series of checks to ensure the validity and authenticity of the certificate. The certificate validation process, outlined in Figure 6, is detailed below, along with the algorithm for certificate verification (Algorithm 1):

- \*\*Extract Ring CA Public Keys\*\*:** The client extracts the public keys of the Ring CA members from the certificate to verify the validity of the ring signature associated with the certificate.
- \*\*Check Validity Period\*\*:** The client verifies whether the certificate is within its validity period.
- \*\*Certificate Operation Existence Check\*\*:** This step involves two sub-steps:
  - \*\*Locate Certificate Operation Transaction\*\*:** The client retrieves the certificate operation transaction using the block height obtained from the certificate. It verifies whether the registration or update operation of the certificate exists in the blockchain.
  - \*\*Consistency of Issuing CA\*\*:** The client locates the linkable ring signature signed by the last Ring CA using the operation height field value in the certificate. It then compares the two ring signatures to determine whether they belong to the same issuing CA. These two sub-steps can be processed concurrently for efficiency.

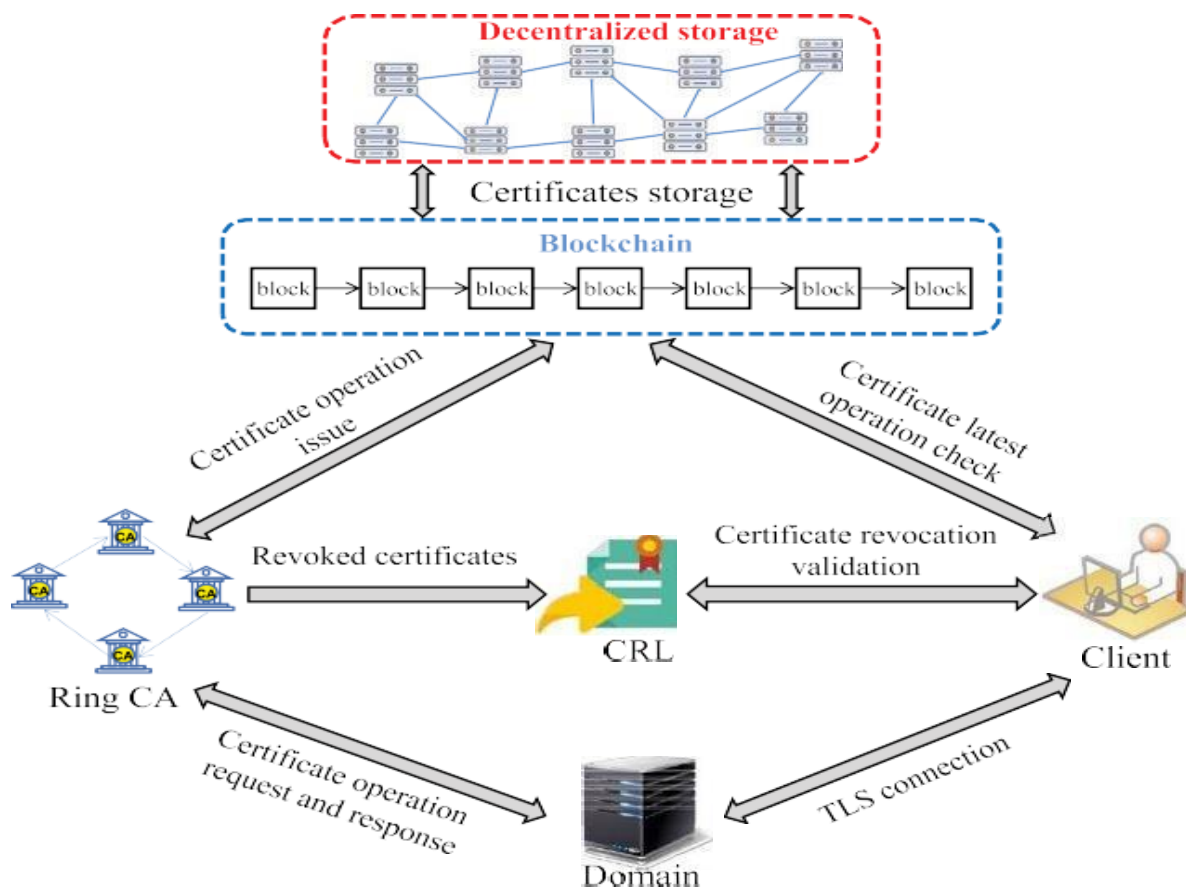
- \*\*Request CRL from Ring CA\*\*:** The client requests a Certificate Revocation List (CRL) from the Ring CA.

After verifying the consistency of the issuing CA, the

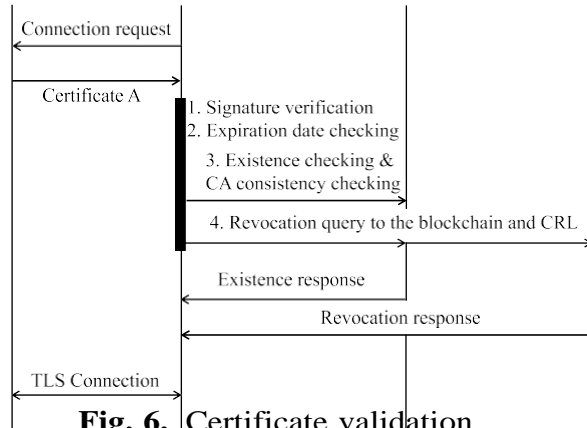
**if**  $RingVer(\sigma_{RingCA}, Q\pi, CertA, LPK) \rightarrow 0$  **then return** 0;  
**if**  $BlockCheck(CertA, h_{num}) \rightarrow 0$  **then return** 0;  
**if**  $LinkVerify(CertA, LastCertA) \rightarrow 0$  **then return** 0;  
**if**  $RevCheck(CRLRingCA, CertA) \rightarrow 0$  **then return** 0;

Ring CA sends the corresponding CRL to the client. The client then verifies the authenticity and integrity of the CRL.

By following these steps, the client can ensure the validity and authenticity of the certificate received from the domain, thereby establishing a secure TLS connection.







**Fig. 6.** Certificate validation.

5. **\*\*Check CRL for Serial Number\*\***: The client examines whether the certificate's serial number is listed in the Certificate Revocation List (CRL). If the serial number is found in the CRL, indicating that the certificate has been revoked, the client terminates the communication.

6. **\*\*Verify Certificate Revocation Request\*\***: If the certificate's serial number is not in the CRL, the client checks the global mapping table certRevRequest. This step aims to ensure that the certificate has not been revoked without updating the CRL. If the value returned from certRevRequest is 0, indicating that there is no certificate revocation request for the certificate, the client terminates the communication.

These additional checks further enhance the security of the TLS connection by ensuring that certificates that have been revoked or flagged for revocation are not accepted for communication.

#### 4.1. Certificate storage

*In contrast to the traditional PKI system, which relies on centralized storage mechanisms, this work proposes employing IPFS (InterPlanetary File System) as a decentralized storage solution for certificate management. IPFS is a distributed web protocol that operates on a peer-to-peer network, facilitating the storage and retrieval of data off-chain. Each node in the*

By leveraging IPFS, the certificate system mitigates the risks associated with centralized storage, such as single points of failure and susceptibility to Distributed Denial of Service (DDoS) attacks. In the IPFS architecture, data is distributed across multiple nodes, reducing reliance on a single centralized entity and enhancing system resilience.

Moreover, IPFS incorporates an incentive mechanism where the first node to respond to a user's request for a certificate is rewarded. This incentivizes participation and contributes to the efficiency of the network.

It's worth noting that while IPFS offers advantages in decentralization and resilience, concerns regarding data integrity and privacy are addressed separately, drawing from existing technologies and methodologies. These aspects, while crucial, are beyond the scope of discussion in this paper.

## 5. Security analysis

asserts that within the LRS\_PKI system, employing the LRS (Linkable Ring Signature) scheme to conceal the identity of the issuing Certificate Authority (CA) ensures anonymity, unforgeability, and linkability, provided that the LRS algorithm is correctly implemented.

Proof:

To demonstrate the anonymity, unforgeability, and linkability of the LRS scheme used in LRS\_PKI, we rely on the following arguments:

1. **\*\*Anonymity\*\***: The anonymity property ensures that the identity of the signer remains confidential. By utilizing LRS to generate signatures, the signer's identity is concealed within a group of possible signers. This anonymity is preserved as long as the LRS algorithm is correctly implemented and the elliptic curve discrete logarithm problem (ECDLP) remains hard.
2. **\*\*Unforgeability\*\***: Unforgeability guarantees that it is computationally infeasible for an adversary to produce a valid signature without possessing the private key. Similar to Theorem 1, the hardness of the ECDLP ensures that generating a valid signature without proper authorization is infeasible, thus

3. **\*\*Linkability\*\***: Linkability refers to the ability to link signatures generated by the same signer across different transactions. In the context of LRS\_PKI, linkability enables tracing the actions of a specific entity across multiple certificate operations. Assuming the hardness of the ECDLP and under the random oracle model (ROM), the LRS scheme exhibits linkability, as demonstrated in Theorem 3.

By combining the properties of anonymity, unforgeability, and linkability, Theorem 4 asserts that the LRS scheme within LRS\_PKI provides a robust framework for securing certificate operations while preserving the anonymity of the issuing CA. This holds true under the condition that the LRS algorithm is correctly implemented and the underlying cryptographic assumptions remain valid.

Proof:

The anonymity of the issuing CA within LRS\_PKI is a direct consequence of the anonymity provided by the LRS algorithm. This anonymity ensures that any ring signature generated by a member of the Ring CA is statistically indistinguishable from others, making it improbable for an adversary to ascertain the true identity of the issuing CA. The probability of an adversary correctly identifying the issuing CA is bounded by  $1/n$ , where  $n$  is the number of members in the Ring CA. Additionally, when an adversary attempts to impersonate an honest user to request a certificate from the Ring CA, they are unable to deduce the true identity of the issuing CA through the link tag  $Q\pi$ . This is because the domain owner interacts with the Ring CA rather than the actual issuing CA, thereby maintaining anonymity even from the domain owner, preventing adversaries from gaining insight into the issuing CA's identity. Thus, throughout the certificate operation process, the issuing CA remains anonymous.

The unforgeability of the linkable ring signature of the issuing CA relies on the unforgeability inherent in the LRS algorithm. Under the assumption of the hardness of the ECDLP, adversaries cannot forge a valid linkable ring signature for the Ring CA. In the first step of the certificate verification process, the Linkable Ring Signature Verification algorithm

first step of the certificate verification process, the Linkable Ring Signature Verification algorithm determines the validity of the LRS. If the output is "accept," indicating a valid linkable ring signature, the verification succeeds; otherwise, it fails. Consequently, the utilization of LRS to conceal the issuing CA satisfies the criteria of unforgeability.

The linkability of the issuing CA stems from the linkability property of the LRS algorithm. Within LRS\_PKI, linkability is employed for certificate revocation and verification processes, ensuring that these operations are consistently performed by the same CA under the Ring CA. Leveraging the unforgeability of the LRS algorithm, the likelihood of adversaries forging a valid signature is negligible. By utilizing the Link algorithm, the certificate operation's consistency is determined. If the output is "linked," indicating satisfaction of linkability, the certificate operation is attributed to the same issuing CA. Otherwise, linkability is not achieved. Hence, the scheme employing LRS to obscure the issuing CA aligns with the definition of linkability.

Targeted Attack:

In traditional PKI systems, the hierarchical structure of CAs exposes them to targeted attacks. High-value targets, such as banks or government agencies, can be vulnerable because their issuing CAs are known. Attackers exploit this knowledge to target specific CAs, compromising their security. However, in LRS\_PKI, the use of Ring CA protects the issuing CA's identity, ensuring anonymity as proven in Theorem 4. This anonymity makes it infeasible for attackers to deduce the issuing CA's identity with a probability greater than  $1/n$ , where  $n$  is the number of members in the Ring CA. Consequently, LRS\_PKI mitigates targeted attacks against the domain's issuing CA effectively.

Impersonation Attack:

In traditional PKI systems, attackers can forge certificates by impersonating legitimate web server administrators. However, LRS\_PKI enhances transparency by recording all certificate operations on the blockchain. This transparency enables domain owners to detect impersonation attacks through self-auditing. By leveraging blockchain's immutability and transparency, LRS\_PKI prevents attackers from impersonating legitimate web servers to obtain undetected fraudulent certificates.

Rogue Certificates or Operations:

**Table 2**

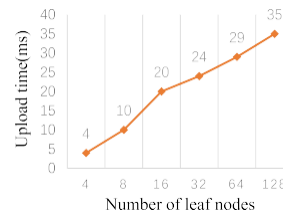
Technical characteristics of the testbed's machines.

Node type	CPU architecture	CPU max speed	CPU operation mode	RAM	Operation system
Server	Core i5-10500	3.10 GHz	64 bits	16 GB	Ubuntu 20.04
CA	Core i5-11400H	2.70 GHz	64 bits	16 GB	Kali Linux 5.16
Client	Core i5-11400H	2.70 GHz	64 bits	16 GB	Kali Linux 5.16

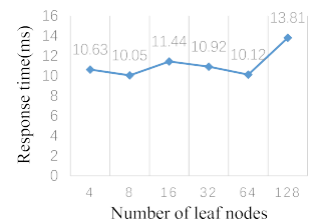
**Table 3**

Average running time of every step for different ring size and signer position  $\pi$ .

(size, $\pi$ )	(5, 2)	(10, 6)	(15, 10)	(20, 14)	(25, 18)
Sign time (s)	0.31	0.32	0.329	0.334	0.33
Verify time (s)	9	2	0.334	0.337	9
Link time (s)	0.32	0.33	0.334	0.338	0.34
Time (s)	7	0			4



(a) Upload time



(b) Response time

**Table 4**

Processing time of every step in certificate validation.

CertV	LRS	Chec	Query	Check
erify	verif	k	block &	CRL &
step	y	cert	CAcon_	certRev
		Date	check	Request
Time (ms)	322	4	351	337

## 4. Experiment and evaluation

### 4.1. Implementation

We have developed a simplified LRS\_PKI (Linkable Ring Signature Public Key Infrastructure) model on the Ethereum blockchain. Our prototype system utilizes JavaScript and Go (v1.18) programming languages. Specifically, we leverage Vue.js for constructing the user

interface framework and implement private Ethereum using Truffle (v4.1.13). To simulate the blockchain nodes, we employ Ganache (v2.5.4), while communication between entities is facilitated through the Web3 API. IPFS integration is based on go-ipfs (v0.12.2). The core components, including the CA (Certificate Authority) entity and the linkable ring signature code, are implemented in Go. Cryptographic computations rely on the Go standard library crypto, employing the secp256k1 elliptic curve and SHA3-256 hash algorithm. In our prototype system, we deploy 5 CA

nodes, 3 Client nodes, and 2 Server nodes, each running on separate machines. Table 2 provides a breakdown of the technical specifications of the machines utilized in our testbed.

#### 4.1. Result analysis

In our certificate storage experiment, we aim to compare the size requirements between traditional TLS certificates and those stored using the LRS\_PKI model. Typically, a TLS certificate for a domain like google.com has a size of approximately 5 KB.

In the LRS\_PKI model, rather than storing the entire certificate directly on the blockchain, we leverage IPFS for storage. Each certificate is stored in IPFS, and access is facilitated through a unique identifier. The blockchain stores only the certificate operation transactions, which are linked to the corresponding certificates stored in IPFS. These transactions are organized in the leaf nodes of a Merkle tree. For instance, the size of a certificate operation transaction in our experiment is approximately 0.5 KB, considering a ring size of 5 for the linkable ring signature. This contrasts with the size of traditional TLS certificates, providing a potentially more efficient approach to certificate management and storage in decentralized systems. In our experiments, we observed that the storage overhead in the blockchain is approximately 10 times greater than that of the LRS\_PKI model. This led us to utilize IPFS for decentralized storage in LRS\_PKI, addressing the increasing demand for identity authentications in the network.

Using the Wrk tool for performance testing in our IPFS experiment, we evaluated the upload and response time of certificate files. The average response time for obtaining a certificate was found to be about 11.16 ms, directly impacting user experience. Additionally, the certificate upload time was observed to increase with the increase of leaf nodes, but without significant impact on overall network transmission, making IPFS a viable option for certificate storage.

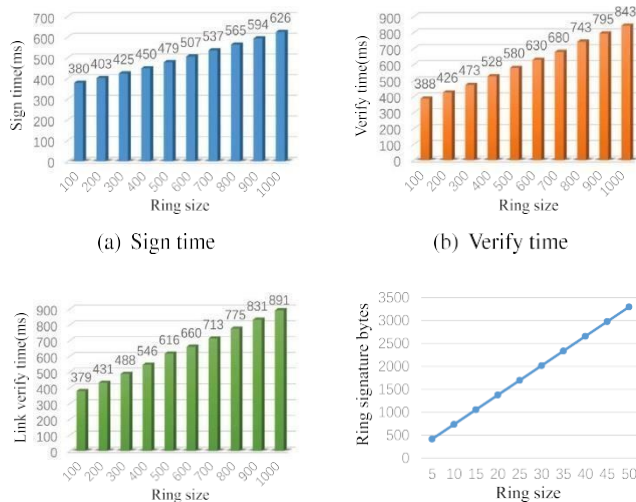


**Table 5**

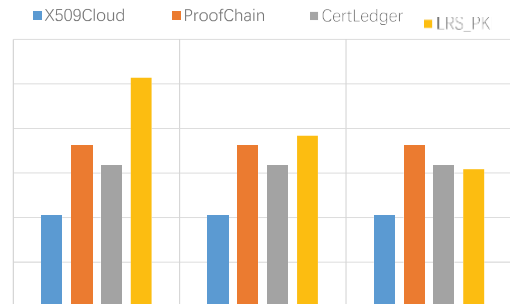
**Comparison of different public key infrastructures based on function, storage and security.**

	Basic function				Storage	Security		
	Registration	Updating	Revoking	Validation		MITM attack resistance	CA consistence check	Privacy-preserving of issuing CA
	<b>Basic function</b>							
IKP [7]	✓	×	×	✓	×	×	×	×
Xauth [21]	✓	✓	✓	✓	✓	✓	×	×
Cecoin [18]	✓	✓	✓	✓	×	✓	×	×
Authcoin [24]	✓	×	×	✓	×	×	×	×
Certcoin [6]	✓	✓	✓	✓	×	✓	×	×
CertLedger [8]	✓	✓	✓	✓	×	✓	×	×
ProofChain [20]	✓	✓	✓	✓	×	✓	×	×
LRS_PKI	✓	✓	✓	✓	✓	✓	✓	✓

Comparatively, traditional PKI technologies often utilize the Online Certificate Status Protocol (OCSP) for certificate revocation checks. Stark et al. [25] observed that OCSP response times generally range between 100 ms and 600 ms, with an average responsetime of approximately 497.55 ms. In LRS\_PKI, the average response time for checking certificate revocation status is about 337 ms, similar to


**Fig. 8. Performance of linkable ring signature.**

consistency of issuing CAs, LRS\_PKI limits the authority of CAs to issue certificates arbitrarily, preventing misbehaving CAs from doing so. In comparing LRS\_PKI with other PKI schemes (see Table 5), it excels in both storage scalability and security. By storing certificates in IPFS and only recording certificate operations on the blockchain, LRS\_PKI minimizes transaction size, thereby enhancing blockchain network transaction processing capability. Throughput analysis using the BlockSim toolkit indicates that LRS\_PKI achieves acceptable performance, with a verification process taking approximately 1.014 s, suitable for high-security domain applications.



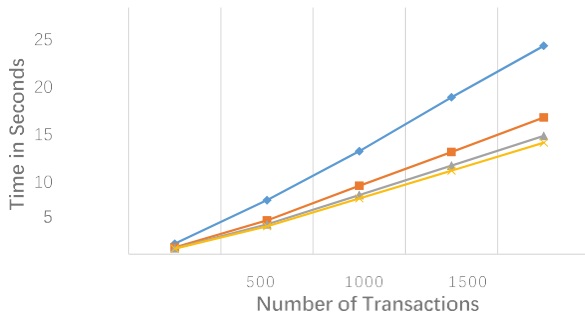
verification process.

In the verification process of LRS\_PKI, there are several steps involved. The client firstly verifies the

ring signatures in the certificate, a transaction that takes approximately 351 ms. Subsequently, the client checks the revocation status of the certificate by inspecting whether the Ring CA's Certificate Revocation List (CRL) contains the certificate's serialnumber. This step, which includes consistency verification of the issuing CA, takes around 337 ms. Incases where the certificate has been revoked but not

yet updated in the CRL, the client searches the global status of the certRevRequest table, adding another 337ms to the

**Fig. 9.** Comparison of the number of transactions that can be accommodated in a block of the proposed system and the previously developed systems.



**Fig. 10.** Comparison of transactions speed of the proposed system and the previously developed systems.

The toolkit we utilized provides a controlled environment for simulating blockchain networks and executing tests or experiments to evaluate various performance parameters, such as processing time, throughput, and computation time. To ensure fair and comparable testing across all schemes, we standardized certain parameters. Specifically, we set the block size to 1 MB, the average block generation time to 12 s, and the block delay to 0.5 s. With these settings in place, we conducted tests comparing different schemes by inputting their respective certificate transaction sizes (with a ring size of 5). The results, illustrated in Fig. 10, demonstrate that LRS\_PKI outperforms other schemes by effectively processing more transactions simultaneously. By optimizing transaction sizes and leveraging IPFS for certificate storage, LRS\_PKI minimizes the burden on the blockchain network, allowing for efficient processing of transactions. This efficiency is crucial in scenarios where large volumes of certificate transactions need to be handled swiftly and securely.

## 5. Conclusion

To address the challenges encountered by Certificate Authorities (CAs) within the PKI system, we propose an innovative solution called Linkable Ring Signature Public Key Infrastructure (LRS\_PKI). This blockchain-based PKI leverages linkable ring signatures to enhance security significantly. Our approach involves recording certificate operations on the blockchain while utilizing IPFS for certificate storage, effectively separating the blockchain layer from the storage layer.

To mitigate targeted attacks on issuing CAs associated with specific certificates, we introduce the concept of a Ring CA, which safeguards the privacy of issuing CAs. Additionally, to counteract potential misbehavior by CAs, we implement checks for the consistency of issuing CAs during the certificate validation process. Security analysis and experimental results affirm the effectiveness of LRS\_PKI, highlighting its security, efficiency, and practicality. Moving forward, we plan to conduct large-scale applicability experiments to further evaluate LRS\_PKI's performance when deployed across multiple nodes in various regions. This will provide a more accurate assessment of its capabilities and scalability in real-world scenarios.

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