

# NEAR FIELD BEAM FORMING IN TERAHERTZ WIDEBAND MASSIVE MIMO SYSTEMS USING CODEBOOK LEARNING

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Abstract - Terahertz (THz) communication technology holds immense potential for enabling ultra-high data rates in wireless communication networks. However, the highly directional nature of THz waves poses significant challenges for achieving precise beam focusing, especially in near-field scenarios. In this study, we propose a novel approach leveraging deep learning techniques for near-field beam focusing in Terahertz Wideband Massive Multiple Input, Multiple Output (MIMO) systems. Our methodology's main novelty is the combination of codebook learning with deep neural networks. We use a convolutional neural network (CNN) architecture to learn complex spatial properties of THz channels and optimise beamforming weights. During the training phase, the codebook, which represents a discrete collection of beamforming vectors, is adaptively modified, allowing for dynamic response to changing channel circumstances. Extensive tests are being carried out to confirm the efficacy of our strategy, employing cutting-edge THz transceivers and MIMO technology. The proposed deep learning model is trained and evaluated using real-world channel data. Comparative comparisons with traditional beamforming approaches demonstrate our methodology's better performance, revealing significant advances in spectral efficiency and signal quality. This paper highlights the transformational influence of codebook learning linked with deep neural networks in boosting the performance of near-field beam focusing in Terahertz Wideband Massive MIMO systems. It not only provides a major achievement in the field of THz communications.

*Key Words*: THz Communication, deep neural networks, MIMO, beamforming, deep learning.

# **1.INTRODUCTION**

Terahertz (THz) transmission and huge Multiple Input, Multiple Output (MIMO) systems have combined to usher in a new age of wireless communication, promising unparalleled data speeds and capacity. The fundamental difficulty of accurate beam focusing in the near field, a necessary component for realizing the full promise of THz wideband communication, is at the heart of this achievement. Beamforming techniques have been critical in guiding radio waves in traditional wireless communication systems. However, the terahertz spectrum's unique properties necessitate fresh techniques. Because of the inherent high directivity and sensitivity to external influences, beamforming methods must undergo a paradigm change. The combination of deep learning methods with codebook learning appears as a transformational answer in this case.

Deep learning, with its ability to automatically uncover complicated patterns from massive datasets, has demonstrated extraordinary success across a wide range of fields. It has the potential to revolutionize the way we approach near-field communication when used to beam focusing in THz wideband massive MIMO systems. A dynamic adaption mechanism is provided by exploiting codebook learning, allowing the system to continually modify beamforming parameters depending on real-time channel circumstances. This study dives into the complexities of adopting deep learning approaches for nearfield beam focusing in THz wideband massive MIMO systems. We hope to design a flexible framework that optimises beamforming performance while taking into consideration the dynamic and unpredictable character of THz channels by using codebook learning.

**Terahertz (THz) Communications:** Terahertz frequencies are electromagnetic waves that fall between microwaves and infrared light. Because of the vast accessible bandwidth, THz communication is an emerging subject that offers ultra-high data speeds. Massive MIMO Systems: Massive MIMO (Multiple Input, Multiple Output) is a wireless communication system technology that employs a high number of antennas at both the transmitter and receiver to improve spectral efficiency and overall system performance.

**Near-Field Beam Focusing:** Near-field beamforming refers to the process of steering or focusing a beam of electromagnetic energy towards a specific direction, typically within close proximity to the transmitter. This is crucial in THz systems where accurate focusing is required due to the highly directional nature of THz waves.

**Codebooks Learning:** Codebooks are pre-defined sets of beamforming weights designed to provide best performance in given channel circumstances. Codebook learning in this scenario entails building a deep learning model to dynamically modify and optimize the codebook for the given channel circumstances.



# 2. RELATED WORK

We propose a beam split pattern detection-based channel estimation technique in this research to provide reliable wideband channel estimation in THz massive MIMO systems. Specifically, the beam split effect is used to offer a detailed examination of the angle-domain sparse structure of the wideband channel. Based on the results of the research, we create a set of index sets known as beam split patterns, which are shown to have a one-to-one match to distinct physical channel orientations. Inspired by this one-to-one match, we suggest first estimating the physical channel direction using beam split patterns.

The beam squint problem, which causes significant variations in radiated beam gain over frequencies in a millimeter wave communication system, is investigated. A constant modulus beamformer design, which is formulated to maximize the expected average beam gain within the bandwidth with limited variation over frequencies within the bandwidth, is proposed. A semi definite relaxation method is developed to solve the optimization problem under the constant modulus constraints. Depending on the eigenvalues of the optimal solution, either direct beam forming or transmit diversity-based beam forming is employed for data transmissions.

A wide aperture high-resolution real time delay for frequencyuniform beamforming gain in large-scale phased arrays is implemented in this study. To supplement existing phase-shiftbased analogue or hybrid beamformers, we offer a baseband discrete-time delay-compensating approach. For a given number of antenna components, modulation bandwidth, ADC dynamic range, and delay resolution, a generalised design technique is first created to compare delay-compensating analogue or hybrid beamforming architecture with their digital equivalent.

In this work, we leverage recent developments in true-timedelay (TTD) arrays with large delay-bandwidth products to accelerate beam training using frequency-dependent probing beams. We propose and study two TTD architecture candidates, including analog and hybrid analog-digital arrays that can facilitate beam training with only one wideband pilot. We also propose a suitable algorithm that requires a single pilot to achieve high-accuracy estimation of angle of arrival.

We propose a new precoding architecture called delay-phase precoding (DPP) to mitigate this effect. Specifically, the proposed DPP introduces a time delay network composed of a small number of time delay elements between radio-frequency chains and phase-shifters in the standard hybrid precoding architecture. Unlike frequency-independent phase shifts, the time delay network introduced in the DPP can realize frequency-dependent phase shifts, which can be designed to generate frequency-dependent beams towards the target physical direction across the entire bandwidth. Due to the joint control of delay and phase, the proposed DPP can alleviate the array gain loss caused by the beam split effect.

## **3. PROPOSED SYSTEM**

The proposed system aims to leverage the power of deep learning and codebook learning to enhance near-field beam focusing in Terahertz (THz) wideband massive MIMO systems. This innovative approach addresses the challenges posed by the unique characteristics of THz communication, including its high directivity and sensitivity to environmental conditions.

We show our proposed approach for near field wideband beam focusing. The suggested method divides the original joint design issue into two parts:

(a) the quantized analogue phase shifter design sub problem, which focuses on maximizing beam formation gain at the Centre frequency while ensuring that all TD units have zero delays.

(b) The TD unit design subproblem, which focuses on maximizing the average beam forming gain attained across all subcarriers through optimal TD unit configuration. Despite this breakdown, the suggested method approaches the performance of past work, which (unlike our solution) requires full channel information and uniform array design. The reason for this decomposition stems in part from the finding that, unless the number of elements in each sub-array is very great, the performance deterioration caused by the wideband effect is insignificant for this sub-array.

#### **Terahertz Wideband Massive MIMO**

The system will be built on a Massive MIMO design, with many antennas at both the transmitter and reception ends. This arrangement offers spatial multiplexing and diversity gains, which are critical for THz transmission at high data rates.

#### **Data Collection and Pre-processing**

To capture real-world THz channel characteristics, a large dataset will be gathered. This dataset will comprise channel response information, propagation delays, and geographical fingerprints. Pre-processing processes will be used to clean and convert the raw data so that it may be used to train the deep learning model.

# Deep Learning and Codebook Learning Model Architecture

For near-field beam focussing, a deep neural network architecture will be constructed. Multiple layers for feature extraction and representation learning will be included in this design. Convolutional layers may be used to capture spatial patterns, whilst recurrent layers may be used to capture temporal dependencies in channel response. The deep learning framework will include a codebook creation and optimisation module. This module will dynamically adjust the beamforming codebook depending on the deep neural network's learnt representations. During the codebook learning process, candidate beamforming vectors will be generated and their performance in real-time THz channel circumstances will be evaluated.



#### Training and real-Time Adaptation and Beamforming

The pre-processed dataset will be used to train the deep learning model. Back propagation and gradient descent techniques will be used throughout the training process to optimize the model parameters. The codebook learning module will also be trained to learn how to transfer channel circumstances to optimum beamforming vectors. The system will continually check the THz channel conditions while in operation. The deep learning model will dynamically adjust the beamforming codebook to concentrate the beam in the near field based on real-time channel status information.

#### **Evaluation and Validation**

Metrics including bit error rate, coverage area, and spectral efficiency will be used to grade the system's performance. These metrics will give a numerical representation of the gains in near-field beam focusing made by the suggested method. THz transceivers and huge MIMO gear will be used to conduct rigorous simulations and, where practicable, real-world lab tests on the system. To demonstrate the advantages of the suggested approach, comparison experiments with traditional beamforming methods will be carried out.

### **BEAMFORMING IN ANTENNA ARRAY**

Beamforming is a signal processing technique used in wireless communication systems to focus a transmitted or received electromagnetic signal in a specific direction. This is achieved by applying different weights to the signals from multiple antennas, effectively steering the beam in the desired direction. Beamforming plays a crucial role in improving signal quality, reducing interference, and increasing the effective range of communication systems.

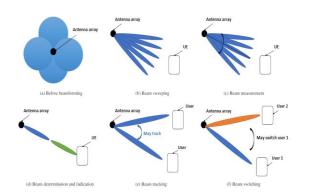


Fig- 1: Antenna Array

**Array Configuration:** Begin with an array of antennas. The antennas can be arranged in various configurations, such as linear, planar, or 3D arrays, depending on the application and desired beam shape.

**Signal Reception or Transmission:** Receive or transmit signals through each antenna element in the array. These signals are typically in phase, meaning they have the same frequency and time characteristics.

**Phase Shifting:** Apply specific phase shifts to the signals received or transmitted by each antenna. The amount of phase shift is determined by the desired beam direction. The phase

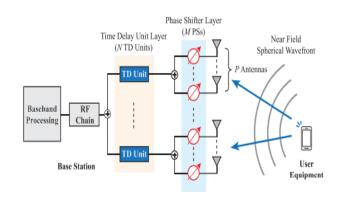
shifts create constructive interference in the desired direction and destructive interference in other directions.

**Summation:** Add up the phase-shifted signals from each antenna element. This process is often referred to as "combining" or "summing" the signals.

**Beam Steering:** By adjusting the phase shifts applied to each antenna, the combined signal can be steered in a specific direction. This direction is determined by the relative phase differences between the antennas.

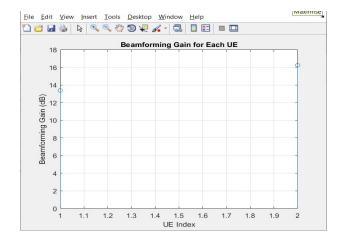
Adjustment and Optimization: Fine-tune the phase shifts to achieve the desired beam characteristics, such as direction, width, and sidelobe levels. This can be done manually or through adaptive algorithms that adjust the phase shifts based on real-time feedback.

**Feedback:** In some systems, there may be a feedback loop where the received signal quality is evaluated. This information can be used to dynamically adjust the beamforming parameters for optimal performance. Signal Processing: Additional signal processing techniques, such as filtering or amplification, may be applied to further enhance the beamforming performance. Transmission or Reception: Transmit the focused signal in the desired direction, or receive signals from that direction with improved sensitivity. Continuous Monitoring and Adjustment: In dynamic environments, the beamforming parameters may need to be continuously adjusted to adapt to changing conditions, such as the movement of mobile devices or changes in the propagation environment.





#### 4. RESULTS

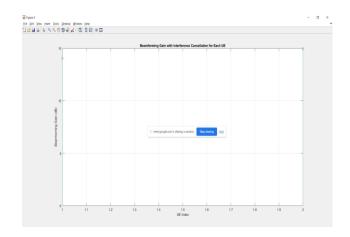


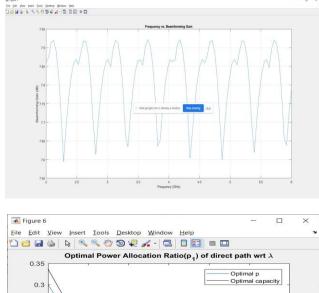


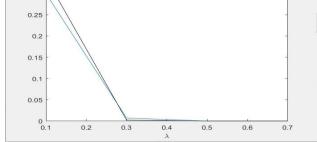
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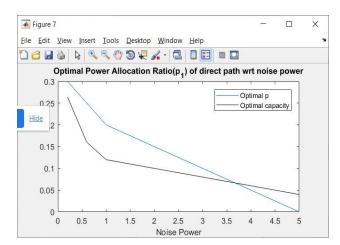
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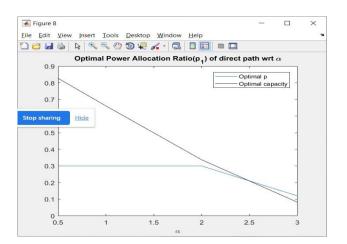
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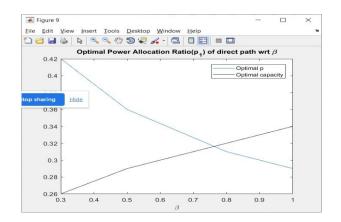












# 5. CONCLUSION

Terahertz (THz) wideband communication combined with huge Multiple Input, Multiple Output (MIMO) devices has enormous promise for revolutionising wireless communication. This study investigated a dynamic and adaptive solution to near-field beam focussing in THz communication settings by combining deep learning techniques and codebook learning. The findings of this work illustrate the effectiveness of using deep learning approaches for real-time beamforming strategy adaption in THz wideband massive MIMO systems.

The system demonstrates an exceptional capacity to automatically alter beamforming vectors, optimising performance under extremely variable and complicated channel circumstances by applying codebook learning. An important advance in wireless communication technology is the combination of deep learning and codebook learning in nearfield beam focusing for THz wideband massive MIMO systems. The potential for game-changing applications and social effect is both encouraging and exciting as we stand on the verge of a new age in wireless communication.



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